
k^2U and k^2Q : A General Framework from k -Point Effective Schedulability Analysis to Utilization-Based Tests

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Outline

Introduction

Utilization-Based Analytical Framework

Selected Applications

Conclusions

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Schedulability Test of Fixed-Priority (FP) Scheduling

Time Demand Analysis (TDA): Task τ_k (with $D_i = T_i$) can be feasibly scheduled by a **fixed-priority scheduling algorithm** if

$$\exists t \text{ with } 0 < t \leq T_k \text{ and } C_k + \sum_{j=1}^{k-1} \left\lceil \frac{t}{T_j} \right\rceil C_j \leq t.$$

(I will implicitly assume $k - 1$ higher-priority tasks.)

Schedulability Test of Fixed-Priority (FP) Scheduling

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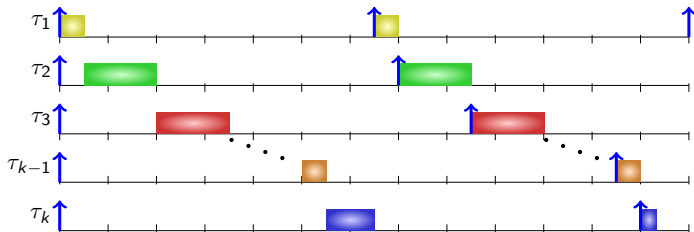
$$\exists t \text{ with } 0 < t \leq T_k \text{ and } C_k + \sum_{j=1}^{k-1} \left\lceil \frac{t}{T_j} \right\rceil C_j \leq t.$$

(I will implicitly assume $k - 1$ higher-priority tasks.)

- This test takes pseudo-polynomial time
- If C_k is small enough, it always answers “schedulable”.
- Can we derive such a bound of C_k *efficiently*?

(Liu and Layland-Bound) Structure

The non-schedulability of task τ_k in rate-monotonic scheduling (RM) implies the following structure if $2T_1 \geq T_k$:



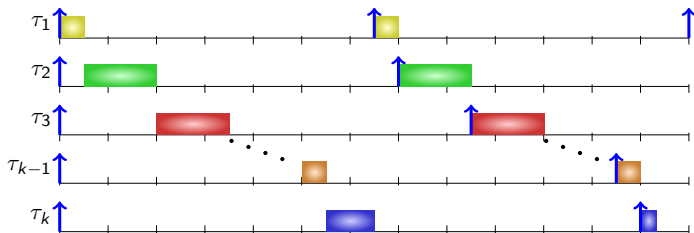
Minimize $\sum_{i=1}^k U_i$

$$C_k + \sum_{i=1}^{k-1} C_i + \sum_{i=0}^{j-1} C_i > T_j, \forall j = 1, 2, \dots, k-1,$$

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Key Questions

Does there exist a unified utilization-based analysis, regardless of the platform model or the task model?

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How can we find the bound of C_k *efficiently*?

Can we build utilization-based analysis *almost automatically* by referring to a schedulability test?

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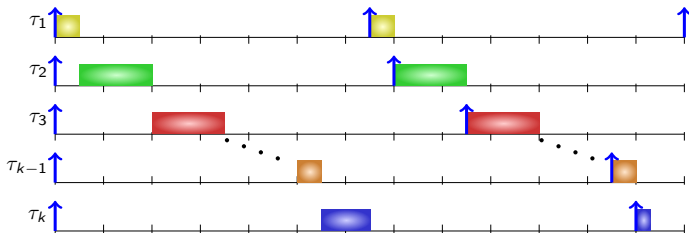
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Revisit (Liu and Layland-Bound) Structure

- $C_i = T_i U_i$
- The non-schedulability of τ_k implies such a structure if $2T_1 \geq T_k$:



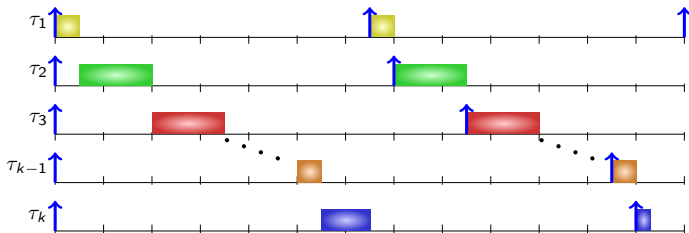
Objective is to find the minimum C_k such that

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k-Point Effective Schedulability Test

Let us replace $T_i > 0$ with $t_i > 0$ (as a variable)

Definition

A k -point effective schedulability test is

- a sufficient test by verifying the existence of $t_j \in \{t_1, t_2, \dots, t_k\}$ with $0 \leq t_1 \leq t_2 \leq \dots \leq t_{k-1} \leq t_k$
- such that

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- such that

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In the above Liu&Layland task model as an example:

- $\alpha_i = 1, \beta_i = 1, \forall i = 1, 2, \dots, k - 1$
- $t_i = T_i, \forall i = 1, 2, \dots, k$

Key Result

Suppose a given k -point effective schedulability test of a scheduling algorithm, in which $0 < \alpha_i \leq \alpha$, and $0 < \beta_i \leq \beta$ for any $i = 1, 2, \dots, k - 1$, $0 < t_k$.

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Suppose a given k -point effective schedulability test of a scheduling algorithm, in which $0 < \alpha_i \leq \alpha$, and $0 < \beta_i \leq \beta$ for any $i = 1, 2, \dots, k - 1$, $0 < t_k$.

Lemma

Lemma 1 Task τ_k is schedulable by the scheduling algorithm if the following condition holds

$$\frac{C_k}{t_k} \leq \frac{\frac{\alpha}{\beta} + 1}{\prod_{j=1}^{k-1} (\beta U_j + 1)} - \frac{\alpha}{\beta}.$$

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Lemma 1 Task τ_k is schedulable by the scheduling algorithm if the following condition holds

$$\left(\frac{C_k}{t_k} + \frac{\alpha}{\beta} \right) \prod_{j=1}^{k-1} (\beta U_j + 1) \leq \frac{\alpha}{\beta} + 1$$

Key Result

Suppose a given k -point effective schedulability test of a scheduling algorithm, in which $0 < \alpha_i \leq \alpha$, and $0 < \beta_i \leq \beta$ for any $i = 1, 2, \dots, k - 1$, $0 < t_k$.

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In the above Liu&Layland task model as an example:

- $\alpha = 1, \beta = 1$
- $t_k = T_k$
- Hyperbolic Bound: $\prod_{j=1}^k (U_j + 1) \leq 2$.

A Sketched Proof

The unschedulability implies that $C_k > C_k^*$, where C_k^* is defined in the following optimization problem:

infimum C_k^*

$$\text{such that } C_k^* + \sum_{i=1}^{k-1} \alpha t_i^* U_i + \sum_{i=1}^{j-1} \beta t_i^* U_i > t_j^*, \quad \forall j = 1, 2, \dots, k$$
$$t_j^* \geq 0, \quad \forall j = 1, 2, \dots, k,$$

where $t_1^*, t_2^*, \dots, t_{k-1}^*$ and C_k^* are variables, α, β are constants, and t_k^* is defined as t_k .

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where $t_1^*, t_2^*, \dots, t_{k-1}^*$ and C_k^* are variables, α, β are constants, and t_k^* is defined as t_k .


The above linear programming gives the minimum C_k^* to be unschedulable. Therefore, if $C_k \leq C_k^*$, task τ_k is guaranteed to be schedulable.

Framework (First Part)

Demonstrated Applications:

- Sec. 5.1: Constrained-deadline sporadic tasks
- Sec. 5.2: Arbitrary-deadline sporadic tasks
- App. C: Multiframe tasks
- Sec. 6.1: Multiprocessor DAG
- Sec. 6.2: Multiprocessor self-suspension

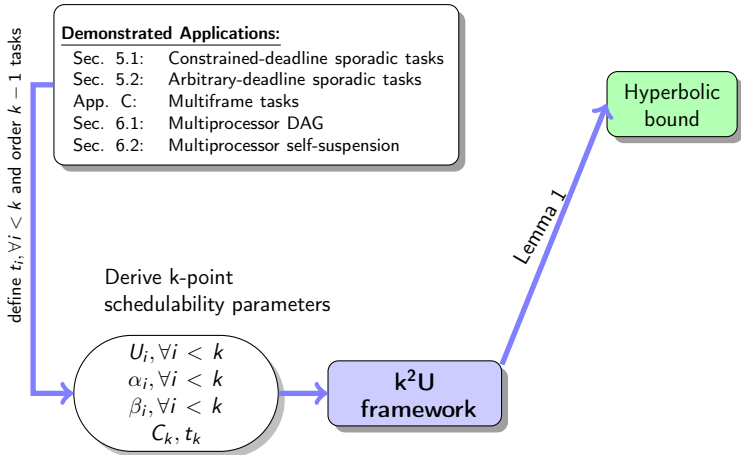
define $t_i, \forall i < k$ and order $k - 1$ tasks



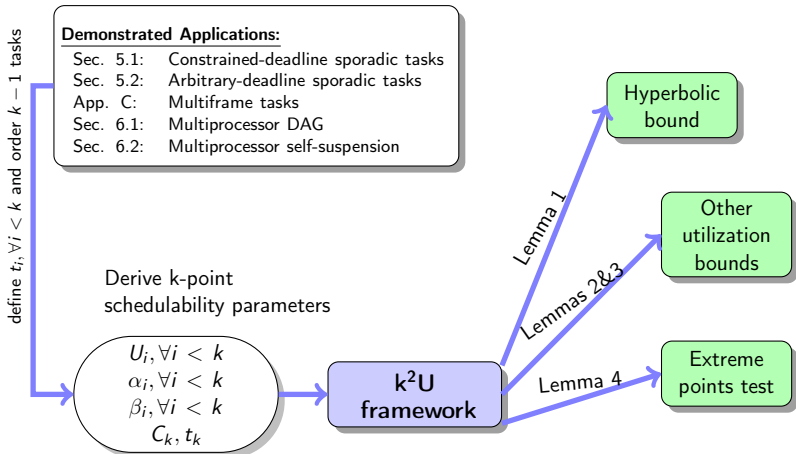
Derive k-point
schedulability parameters

$$\begin{aligned}U_i, \forall i < k \\ \alpha_i, \forall i < k \\ \beta_i, \forall i < k \\ C_k, t_k\end{aligned}$$

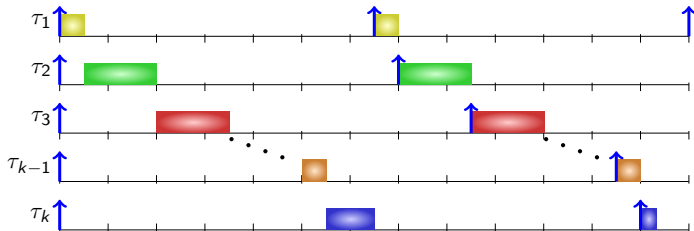
Framework (First Part)



Framework (First Part)



Hyperbolic Bound for Sporadic Task Systems



Let t_i be $\left\lfloor \frac{T_k}{T_i} \right\rfloor T_i$. Therefore, we have $\alpha_i = 1$ and $\beta_i \leq 1$.

Theorem

[Bini and Buttazzo, ECRTS 2001] Task τ_k is schedulable by RM on a uniprocessor system if

$$\prod_{i=1}^k (U_i + 1) \leq 2.$$

Direct Implications

From the schedulability condition $(\frac{C_k}{t_k} + \frac{\alpha}{\beta}) \prod_{j=1}^{k-1} (\beta U_j + 1) \leq \frac{\alpha}{\beta} + 1$

Lemma

(Lemma 2) Task τ_k is schedulable by the scheduling algorithm if

$$\frac{C_k}{t_k} + \sum_{i=1}^{k-1} U_i \leq \begin{cases} 1, & (\alpha + \beta)^{\frac{1}{k}} < 1 \\ (k-1) \frac{\left((1 + \frac{\beta}{\alpha})^{\frac{1}{k-1}} - 1 \right)}{\beta}, & (\alpha + \beta)^{\frac{1}{k}} < \alpha \\ \frac{(k-1)((\alpha + \beta)^{\frac{1}{k}} - 1) + ((\alpha + \beta)^{\frac{1}{k}} - \alpha)}{\beta} & \text{otherwise.} \end{cases}$$

Lemma

(Lemma 3) Task τ_k is schedulable by the scheduling algorithm if

$$\beta \sum_{i=1}^{k-1} U_i \leq \ln \left(\frac{\frac{\alpha}{\beta} + 1}{\frac{C_k}{t_k} + \frac{\alpha}{\beta}} \right).$$

k-Point Effective Schedulability Test: k^2Q

Definition

[Last Release Time Ordering] Let π be the last release time ordering assignment as a bijective function $\pi : hp(\tau_k) \rightarrow \{1, 2, \dots, k-1\}$ to define the last release time ordering of task $\tau_j \in hp(\tau_k)$ in the window of interest.

Definition

A k -last-release effective schedulability test under a given ordering π of the $k-1$ higher priority tasks is a sufficient schedulability test of a fixed-priority scheduling policy by verifying the existence of $t_1 \leq t_2 \leq \dots \leq t_{k-1} \leq t_k$ such that

$$C_k + \sum_{i=1}^{k-1} \alpha_i t_i U_i + \sum_{i=1}^{j-1} \beta_i C_i \leq t_j, \quad (1)$$

where $C_k > 0$, for $i = 1, 2, \dots, k-1$, $\alpha_i > 0$, $U_i > 0$, $C_i \geq 0$, and $\beta_i > 0$ are dependent upon the setting of the task models and task τ_i .

Key Lemma

Lemma

[Lemma 5] For a given k -point last-release schedulability test of a scheduling algorithm, in which $0 < \alpha_i$, and $0 < \beta_i$ for any $i = 1, 2, \dots, k-1$, $0 < t_k$, $\sum_{i=1}^{k-1} \alpha_i U_i \leq 1$, and $\sum_{i=1}^{k-1} \beta_i C_i \leq t_k$, task τ_k is schedulable by the fixed-priority scheduling algorithm if the following condition holds

$$\frac{C_k}{t_k} \leq 1 - \sum_{i=1}^{k-1} \alpha_i U_i - \frac{\sum_{i=1}^{k-1} (\beta_i C_i - \alpha_i U_i (\sum_{\ell=i}^{k-1} \beta_\ell C_\ell))}{t_k}. \quad (2)$$

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The worst-case ordering π of the $k - 1$ higher-priority tasks is to order the tasks in a non-increasing order of $\frac{\beta_i C_i}{\alpha_i U_i}$.

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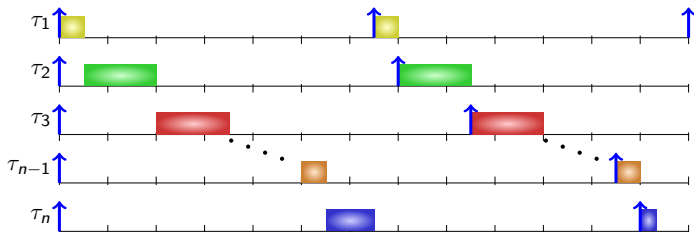
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where $t_1^*, t_2^*, \dots, t_{k-1}^*$ and C_k^* are variables, α_i, β_i are constants, and t_k^* is defined as t_k .

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Quadratic Bound for Sporadic Task Systems



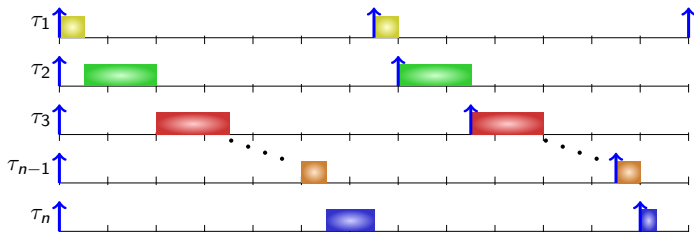
Let t_i be $\left\lfloor \frac{T_k}{T_i} \right\rfloor T_i$. Therefore, $\alpha_i = 1$ and $\beta_i \leq 1$.

Theorem

Task τ_k is schedulable by RM on a uniprocessor system if

$$0 \leq 1 - U_k - 2 \sum_{i=1}^{k-1} U_i + 0.5 \left(\left(\sum_{i=1}^{k-1} U_i \right)^2 + \left(\sum_{i=1}^{k-1} U_i^2 \right) \right).$$

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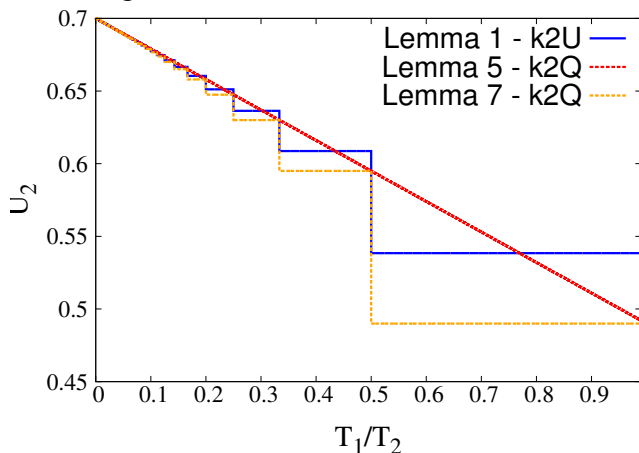
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How to Use the Framework

- Parameters α_i and β_i affect the quality of the schedulability bounds
- Deriving the *good* settings of α_i and β_i is not part of this framework.
 - The framework simply derives the bounds/tests according to α_i and β_i
 - The correctness of α_i and β_i is not verified by the framework.
- The hyperbolic/quadratic bounds or utilization bounds can be automatically derived
 - The other approaches seek for the total utilization bounds
 - They have limited applications and are less flexible.
- After α_i and β_i or their safe upper bounds α and β are derived, the task model is not further referred.

Comparisons

Adopting different tests from k^2U and k^2Q for RM uniprocessor scheduling with $k = 2$ and $U_1 = 0.3$.



When to Use Which?

- k^2U (Version 1 above)
 - define any valid k points to obtain the corresponding α_i and β_i
 - more precise if the corresponding exponential-time (pseudo-polynomial-time) test is an exact test
 - may be less precise if the corresponding test requires some pessimism to be constructed, to be shown later
- k^2Q (Version 2 above)
 - define k last release points to obtain the corresponding α_i and β_i
 - has to typically consider the last release ordering
 - less precise if the corresponding exponential-time (pseudo-polynomial-time) test is an exact test
 - may be more precise by starting from the exponential-time test, to be shown later
 - can be generalized for response-time analysis

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Deadline-Monotonic Scheduling

- let $hp(\tau_k)$ be the set of tasks with higher priority than τ_k .
 - $hp_1(\tau_k)$ consists of the higher-priority tasks τ_i with $T_i < D_k$.
 - $hp_2(\tau_k)$ consists of the higher-priority tasks τ_i with $T_i \geq D_k$.
- The schedulability test is equivalent to the verification of

$$\exists 0 < t \leq D_k \quad C_k + \sum_{\tau_i \in hp_2(\tau_k)} C_i + \sum_{\tau_i \in hp_1(\tau_k)} \left\lceil \frac{t}{T_i} \right\rceil C_i \leq t.$$

$$\Rightarrow \exists 0 < t \leq D_k \quad C'_k + \sum_{\tau_i \in hp_1(\tau_k)} \left\lceil \frac{t}{T_i} \right\rceil C_i \leq t.$$

- Apply k^2U or k^2Q to get the utilization-based schedulability tests, by setting $\alpha_i = 1$ and $0 < \beta_i \leq 1$.

Deadline-Monotonic Scheduling (cont.)

The schedulability condition of task τ_k by using k^2U is

$$\left(\frac{C'_k}{D_k} + 1 \right) \prod_{\tau_j \in hp_1(\tau_k)} (U_j + 1) \leq 2.$$

The schedulability condition of task τ_k by using k^2Q is

$$\frac{C'_k}{D_k} \leq 1 - 2 \sum_{i=1}^{k-1} U_i + 0.5 \left(\left(\sum_{i=1}^{k-1} U_i \right)^2 + \sum_{i=1}^{k-1} U_i^2 \right).$$

- It can be proved that the speed-up factor of DM is 1.76322, compared to EDF.

Uniprocessor Self-Suspending Task Systems

For all $0 < t \leq T_k$

$$W_k(t) = \sum_{i=1}^{k-1} \left(\left\lceil \frac{t}{T_i} \right\rceil - 1 \right) C_i + 2C_i.$$

Schedulability test for task τ_k :

$$\exists t \text{ with } 0 < t \leq T_k \text{ and } C_k + S_k + W_k(t) \leq t.$$

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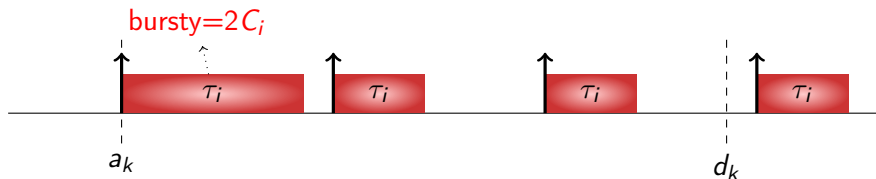
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Uniprocessor Self-Suspending: k2U Framework

- Let t_i be $\left\lfloor \frac{T_k}{T_i} \right\rfloor T_i$
- When testing task τ_k ,
 - $\alpha_i \leq \alpha = 2$ and $\beta_i \leq \beta = 1$ for $i=1,2,\dots,k-1$
- By using k^2U framework, τ_k is schedulable by RM scheduling if

$$\left(\frac{C_k + S_k}{T_k} + 2 \right) \prod_{j=1}^{k-1} (U_j + 1) \leq 3.$$

In the key lemma:

$$\left(\frac{C_k}{t_k} + \frac{\alpha}{\beta} \right) \prod_{j=1}^{k-1} (\beta U_j + 1) \leq \frac{\alpha}{\beta} + 1$$

Utilization Bounds

Let $t_i = \left\lfloor \frac{T_i}{T_k} \right\rfloor T_i$. Therefore, we have $\alpha_i \leq 2$ and $\beta_i \leq 1$.

Theorem (Liu and Chen in RTSS 2014)

Any implicit-deadline sporadic self-suspending task set is schedulable under RM if the following conditions hold:

$$\forall 1 \leq k \leq n, U_k + \frac{S_k}{T_k} \leq 1 - (2 + 1) \cdot \left(1 - \frac{1}{\prod_{i=1}^{k-1} (U_i + 1)} \right). \quad (3)$$

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Any implicit-deadline sporadic self-suspending task set is schedulable under RM if the following conditions hold:

$$\forall 1 \leq k \leq n, U_k + \frac{S_k}{T_k} + \sum_{i=1}^{k-1} U_i \leq k \left(\left(\frac{2 + 1}{2} \right)^{\frac{1}{k}} - 1 \right) \quad (4)$$

Uniprocessor Non-Preemptive (NP) Scheduling

Let $\widehat{C}_k = C_k + B_k + \sum_{\tau_i \in hp_2(\tau_k)} C_i$, where B_k is $\{\max_{\tau_i \in lp(\tau_k)} C_i\}$.
The schedulability condition of task τ_k by using k^2U is

$$\left(\frac{\widehat{C}_k}{D_k} + 1 \right) \prod_{\tau_j \in hp_1(\tau_k)} (U_j + 1) \leq 2 \quad (5)$$

Theorem

[Theorem 4 in von der Brüggen, Chen, and Huang, 2015]

Suppose that $\gamma = \max_{\tau_k} \left\{ \max_{\tau_i \in lp(\tau_k)} \left\{ \frac{C_i}{C_k} \right\} \right\}$. A task set can be feasibly scheduled by RM-NP if

$$U_{sum} \leq \begin{cases} \frac{\gamma}{1+\gamma} + \ln\left(\frac{2}{1+\gamma}\right) & \text{if } \gamma \leq 1 \\ \frac{1}{1+\gamma} & \text{if } \gamma > 1 \end{cases}$$

(left as an exercise)

Real-Time Systems with Mode Changes

- Real-time tasks run in different modes over time to react to the change of physical environments
 - Avionic systems
 - Automotive systems

rotation (rpm)	functions to be executed
[0, 2000]	$f_1(); f_2(); f_3(); f_4();$
(2000, 4000]	$f_1(); f_2(); f_3();$
(4000, 6000]	$f_1(); f_2();$
(6000, 8000]	$f_1();$

Multi-Mode Task Model

- A multi-mode task τ_i is denoted by a set of triplets:

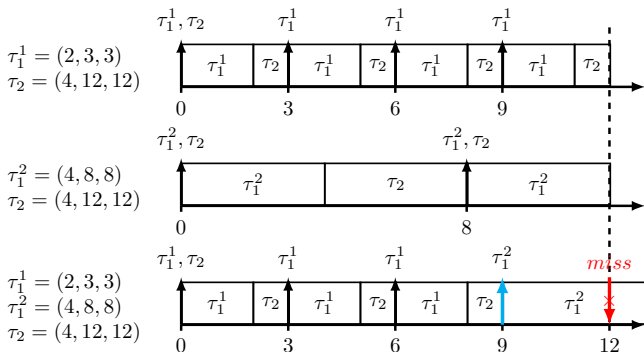
$$\tau_i = \{ \tau_i^1 = (C_i^1, T_i^1, D_i^1), \\ \tau_i^2 = (C_i^2, T_i^2, D_i^2), \dots, \\ \tau_i^{M_i} = (C_i^{M_i}, T_i^{M_i}, D_i^{M_i}) \}$$

- C_i^m denotes the *worst-case execution time* (WCET) of task τ_i under mode m
- T_i^m denotes the *minimum inter-arrival time* of task τ_i under mode m
- D_i^m denotes relative deadline (Constrained-deadline system ($D_i^m \leq T_i^m$))
- Fixed-priority scheduling
 - Fixed-priority task-level (FPT)
 - Fixed-priority mode-level (FPM)

Problems with a Naive Analysis

Deadline miss during mode transition under fixed-priority scheduling

- $\tau_1 = \{\tau_1^1 = (2, 3, 3), \tau_1^2 = (4, 8, 8)\}$
- $\tau_2 = (4, 12, 12)$



A Safe Exponential-Time Test

Theorem

Task mode τ_k^h is schedulable under an FPM scheduling if

$$\forall y \geq 0, \forall \text{combinations of } t_i^* \text{ with } 0 \leq t_i^* \leq t_{i+1}^*, \forall i = 1, 2, \dots, k-1$$

$$\exists j = 1, 2, \dots, k, \text{ s.t. } C_k^h + y \cdot U_k^{\max} + \sum_{i=1}^{k-1} (U_i^{\max} \cdot t_i^*) + \sum_{i=1}^{j-1} C_i^{\max} \leq t_j^*.$$

C_i^{\max} is the maximum execution time among the modes of task τ_i with priority higher than or equals to task mode τ_k^h . U_i^{\max} is the maximum utilization among the modes of task τ_i with priority higher than or equals to task mode τ_k^h . The constant t_k^* is defined as $T_k^h + y$.

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Hint: the above test also requires to enumerate all possible orderings. Under $k^2 Q$, it is possible to safely only test one specific ordering.

Utilization Test under FPM-RM

For a given y , we have $C_k^h + y \cdot U_k^{\max} \leq (T_k + y) \cdot U_k^{\max}$. So, the remaining is a case with $\alpha_1 = 1$ and $\beta_i = 1$ in the k^2Q framework.

Theorem

Task τ_k^h in a multi-mode task system with implicit deadlines is schedulable by the mode-level RM scheduling algorithm on a uniprocessor system if the following condition holds

$$U_k^{\max} \leq 1 - 2 \sum_{i=1}^{k-1} U_i^{\max} + 0.5 \left(\left(\sum_{i=1}^{k-1} U_i^{\max} \right)^2 + \left(\sum_{i=1}^{k-1} (U_i^{\max})^2 \right) \right), \quad (6)$$

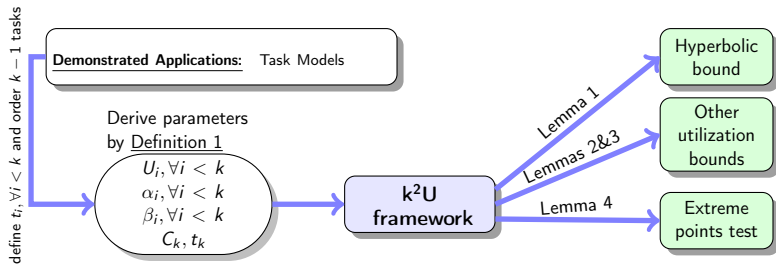
or

$$\sum_{i=1}^{k-1} U_i^{\max} \leq \left(\frac{k-1}{k} \right) \left(2 - \sqrt{2 + 2U_k^{\max} \frac{k}{k-1}} \right), \quad (7)$$

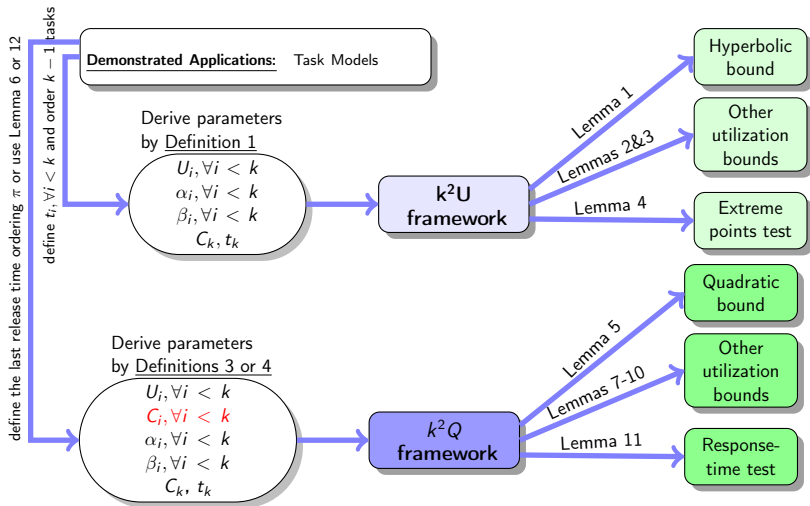
or

$$U_k^{\max} + \sum_{i=1}^{k-1} U_i^{\max} \leq \begin{cases} \left(\frac{k-1}{k} \right) \left(2 - \sqrt{4 - \frac{2k}{k-1}} \right), & \text{if } k > 3 \\ 1 - \frac{(k-1)}{2k} & \text{otherwise.} \end{cases} \quad (8)$$

Framework



Framework



Outline

Introduction

Utilization-Based Analytical Framework

Selected Applications

Conclusions

Polynomial-time schedulability test framework

- Basically handles many problems very well with low time complexity
- The first evidence to translate *exponential-time schedulability tests* to (potentially) *linear-time tests* with test quality guarantees

Polynomial-time schedulability test framework

- Basically handles many problems very well with low time complexity
- The first evidence to translate *exponential-time schedulability tests* to (potentially) *linear-time tests* with test quality guarantees
- Can we derive the coefficients α_i and β_i *automatically*?

- Yes, for some well-studied forms. See our recent technical report. One commonly used class as an example:

$$\exists 0 < t \leq D_k \text{ s.t. } C_k + \sum_{i=1}^{k-1} \sigma \left(\left\lceil \frac{t}{T_i} \right\rceil C_i + bC_i \right) \leq t.$$

- No idea yet for arbitrary forms

Other Applications

- Multi-frame task model
- Digraph task models
- Uniprocessor/Multiprocessor scheduling with self-suspensions
- Multiprocessor global DM scheduling
- Multiprocessor partitioned RM/DM scheduling
- Multiprocessor scheduling with DAG structures
- etc.