

# Petri Nets

Peter Marwedel  
TU Dortmund,  
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# Models of computation considered in this course

Communication/ local computations	Shared memory	Message passing Synchronous   Asynchronous
Undefined components		Plain text, use cases   (Message) sequence charts
Communicating finite state machines	StateCharts	SDL
Data flow	Scoreboarding + Tomasulo Algorithm (☞ Comp.Archict.)	Kahn networks, SDF
Petri nets		C/E nets, P/T nets, ...
Discrete event (DE) model	VHDL*, Verilog*, SystemC*, ...	Only experimental systems, e.g. distributed DE in Ptolemy
Von Neumann model	C, C++, Java	C, C++, Java with libraries CSP, ADA

# Introduction

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Introduced in 1962 by Carl Adam Petri in his PhD thesis.

Focus on modeling causal dependencies;  
no global synchronization assumed (message passing only).

Key elements:

- **Conditions**

Either met or not met.

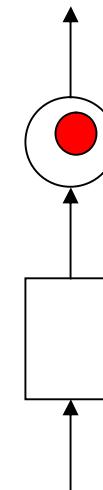
- **Events**

May take place if certain conditions are met.

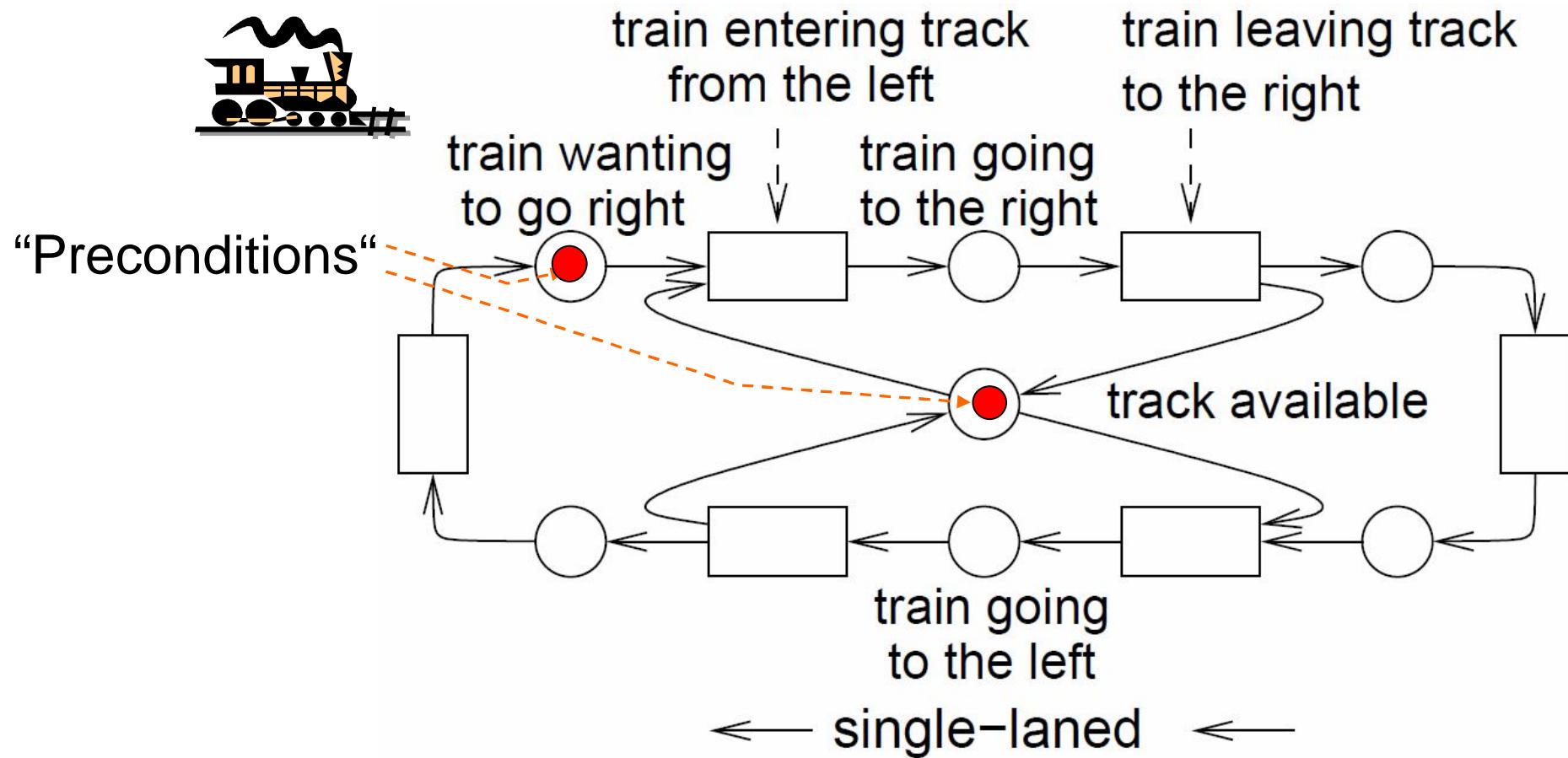
- **Flow relation**

Relates conditions and events.

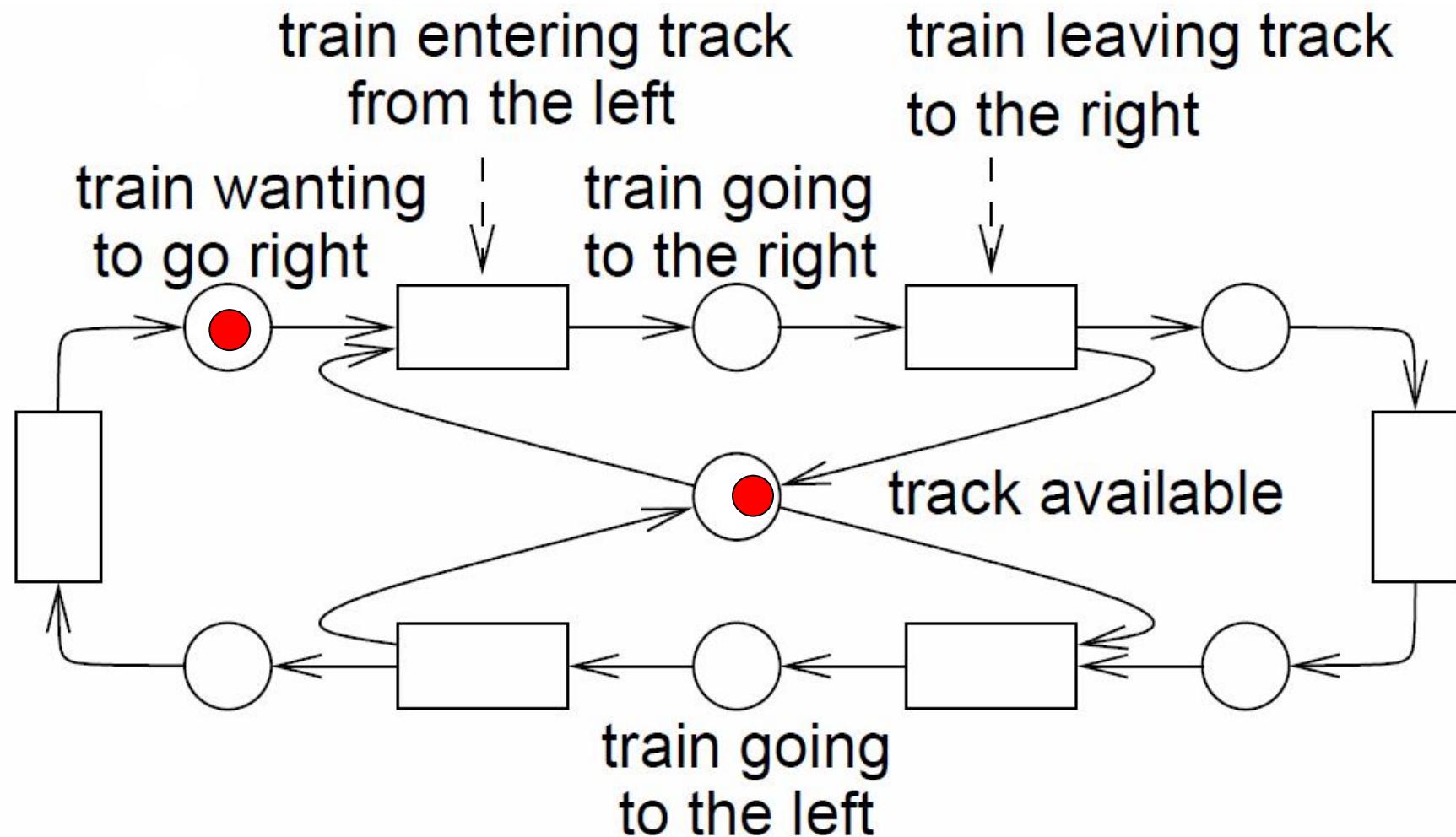
Conditions, events and the flow relation form  
**a bipartite graph** (graph with two kinds of nodes).



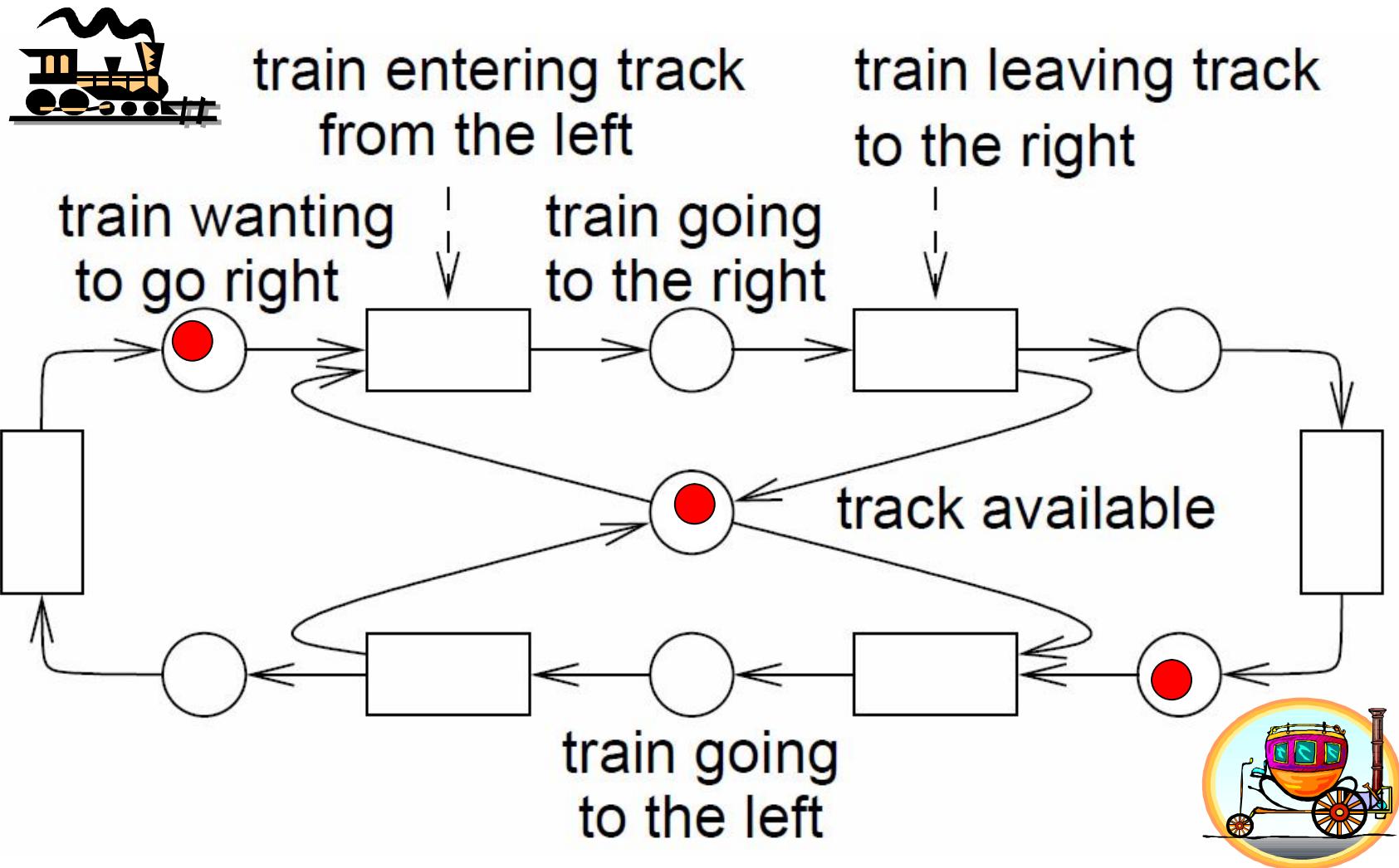
# Example: Synchronization at single track rail segment



# Playing the “token game”



# Conflict for resource “track”

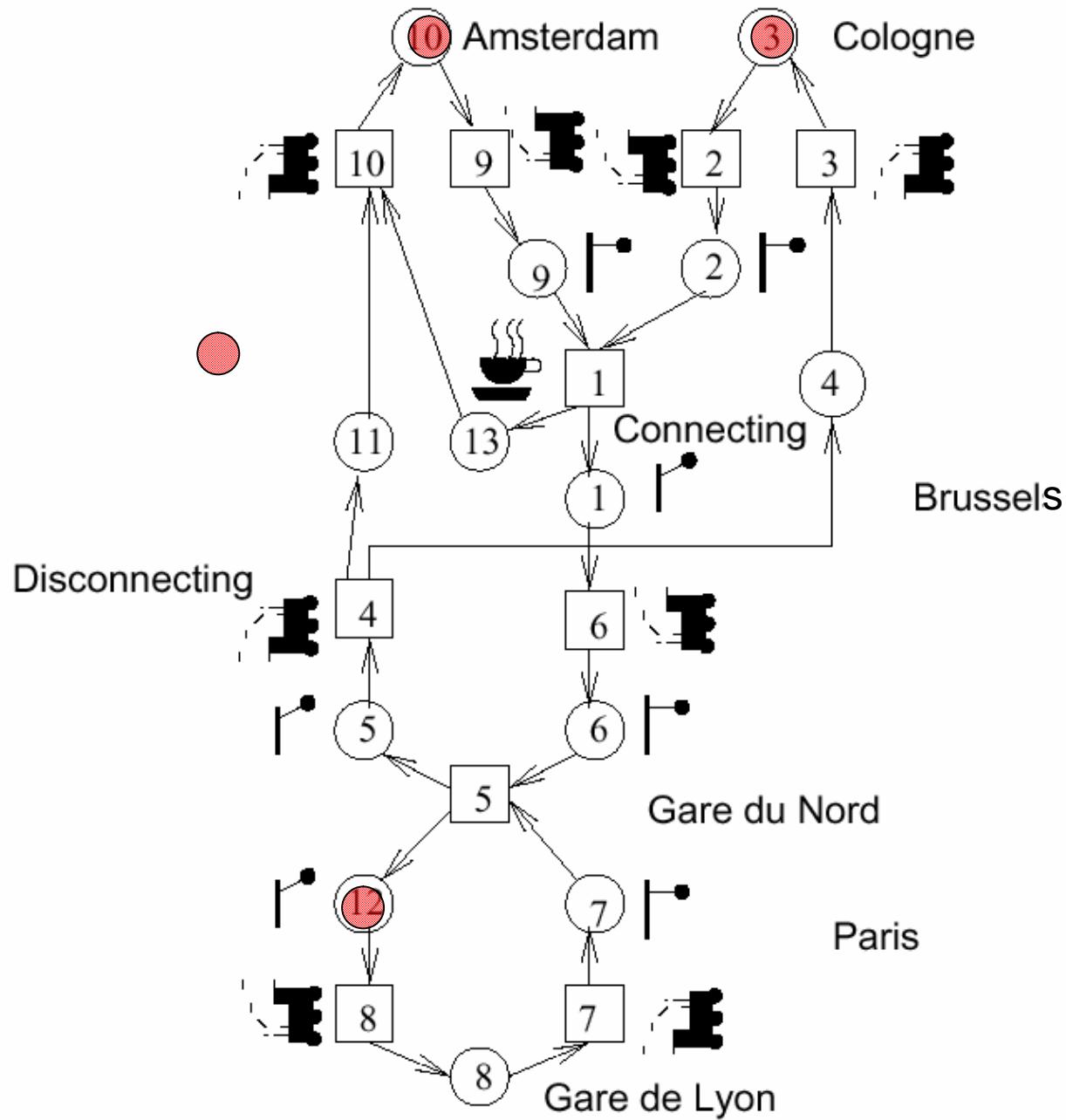


# More complex example (1)

Thalys trains between Cologne, Amsterdam, Brussels and Paris.



[<http://www.thalys.com/be/en>]



## More complex example (2)

Slightly simplified:  
Synchronization  
at Brussels and  
Paris,  
using stations  
“Gare du Nord”  
and “Gare de  
Lyon” at Paris

# Condition/event nets

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**Def.:**  $N=(C,E,F)$  is called a **net**, iff the following holds

1.  $C$  and  $E$  are disjoint sets
2.  $F \subseteq (C \times E) \cup (E \times C)$ ; is binary relation,  
("flow relation")

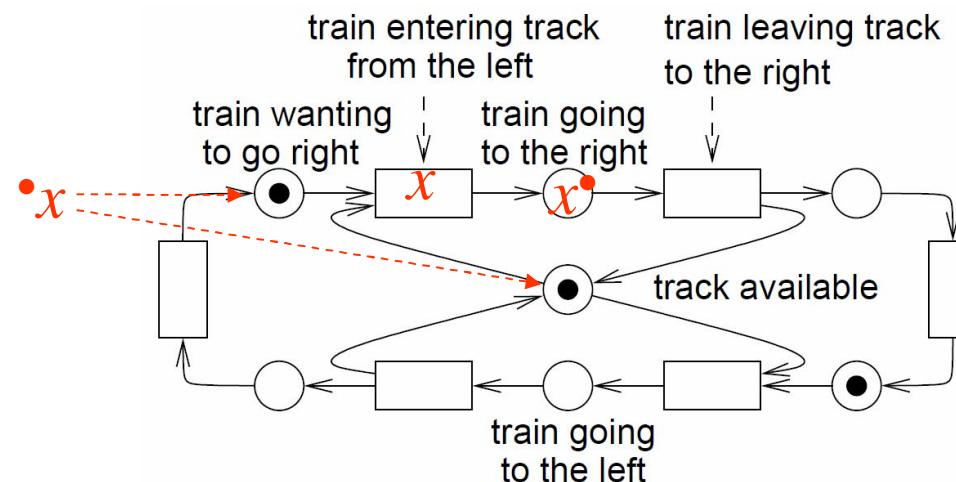
# Pre- and post-sets

**Def.:** Let  $N$  be a net and let  $x \in (C \cup E)$ .

- $x := \{y \mid y F x\}$  is called the **pre-set** of  $x$ ,  
(or **preconditions** if  $x \in E$ )

- $x^\bullet := \{y \mid x F y\}$  is called the set of **post-set** of  $x$ ,  
(or **postconditions** if  $x \in E$ )

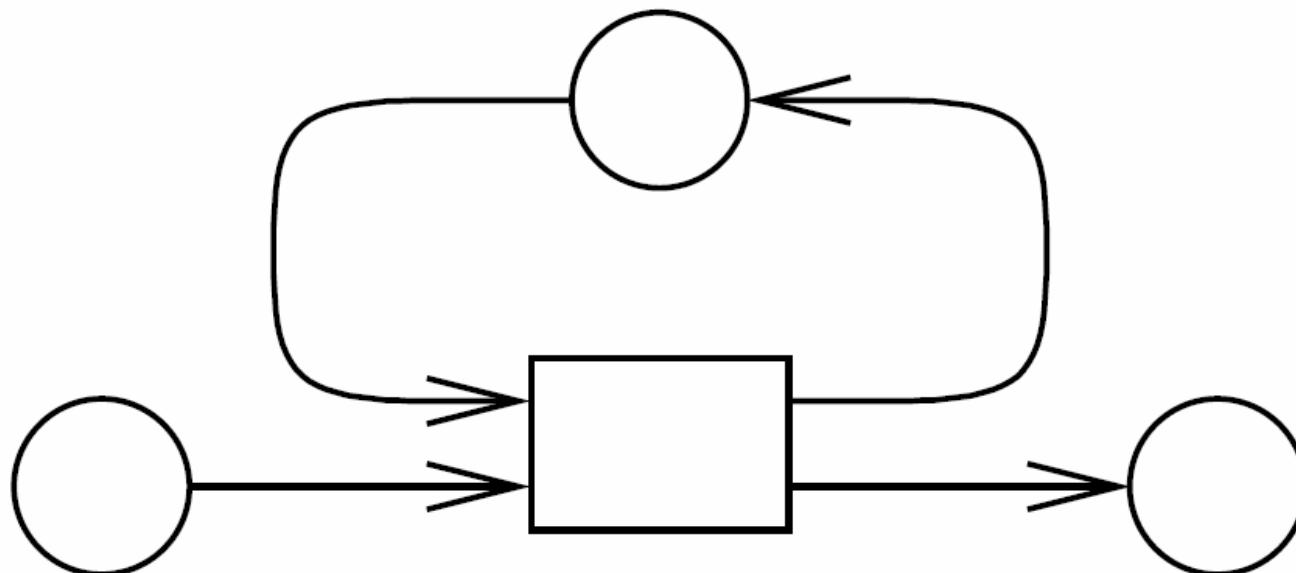
## Example:



# Loops and pure nets

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**Def.:** Let  $(c,e) \in C \times E$ .  $(c, e)$  is called a **loop** iff  $cFe \wedge eFc$ .

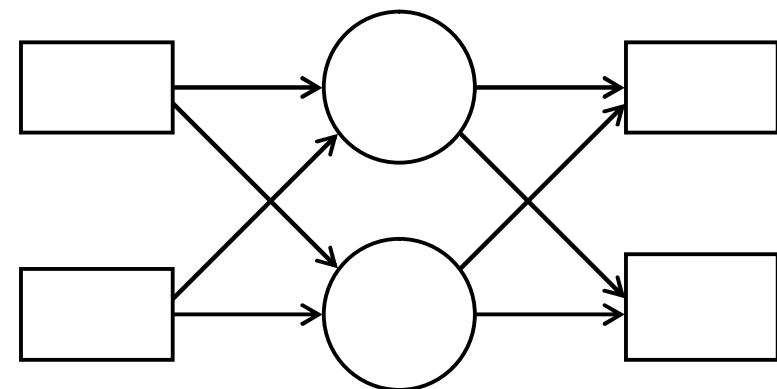
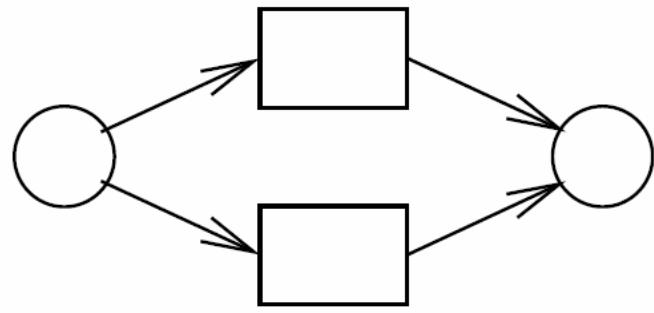


**Def.:** Net  $N=(C,E,F)$  is called **pure**, if  $F$  does not contain any loops.

# Simple nets

**Def.:** A net is called **simple** if no two nodes  $n_1$  and  $n_2$  have the same pre-set and post-set.

Example (not simple nets):

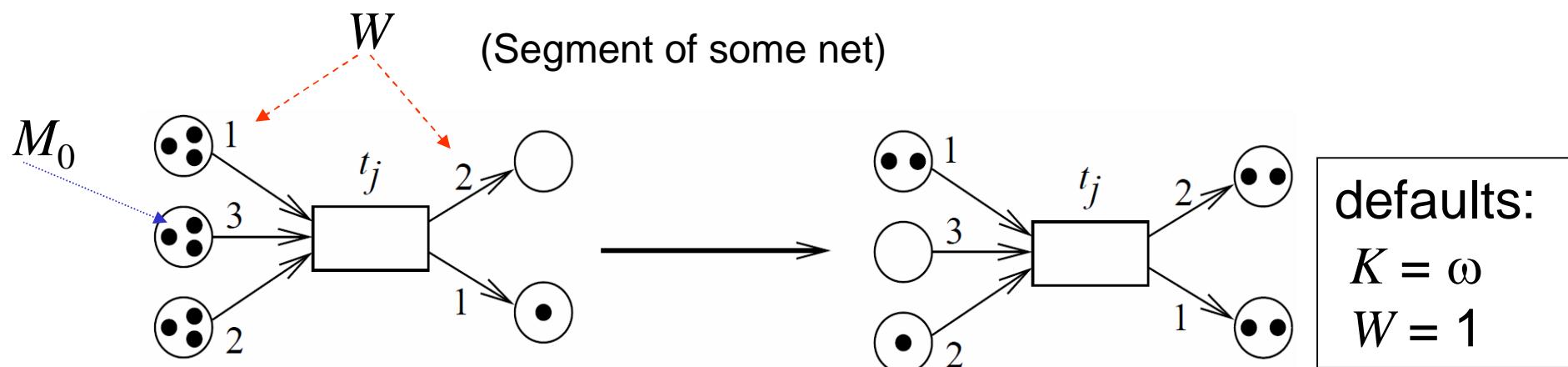


**Def.:** Simple nets with no isolated elements meeting some additional restrictions are called **condition/event nets (C/E nets)**.

## Place/transition nets

**Def.:**  $(P, T, F, K, W, M_0)$  is called a **place/transition net** iff

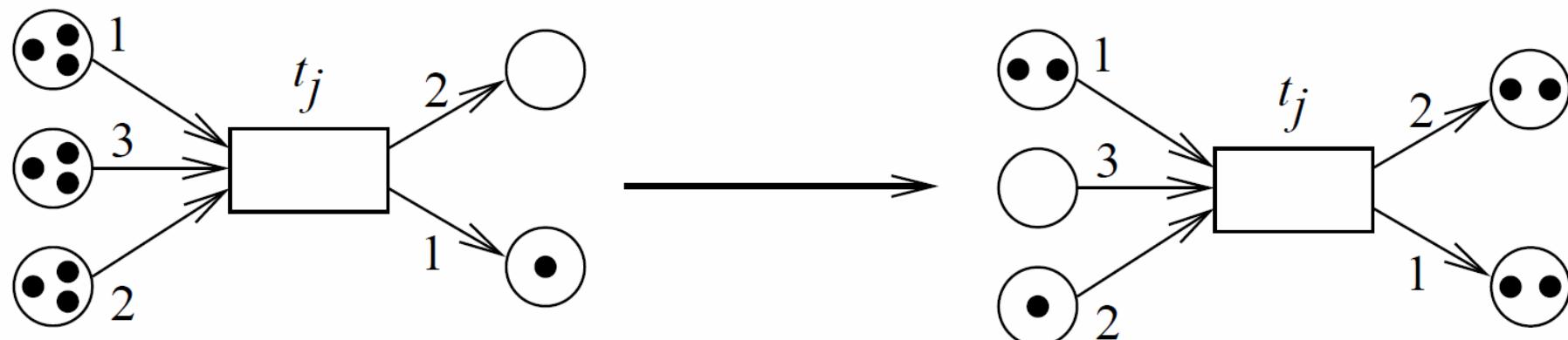
1.  $N=(P, T, F)$  is a **net** with places  $p \in P$  and transitions  $t \in T$
  2.  $K: P \rightarrow (\mathbb{N}_0 \cup \{\omega\}) \setminus \{0\}$  denotes the **capacity** of places  
( $\omega$  symbolizes infinite capacity)
  3.  $W: F \rightarrow (\mathbb{N}_0 \setminus \{0\})$  denotes the **weight of graph edges**
  4.  $M_0: P \rightarrow \mathbb{N}_0 \cup \{\omega\}$  represents the **initial marking** of places



# Computing changes of markings

“Firing“ transitions  $t$  generate new markings on each of the places  $p$  according to the following rules:

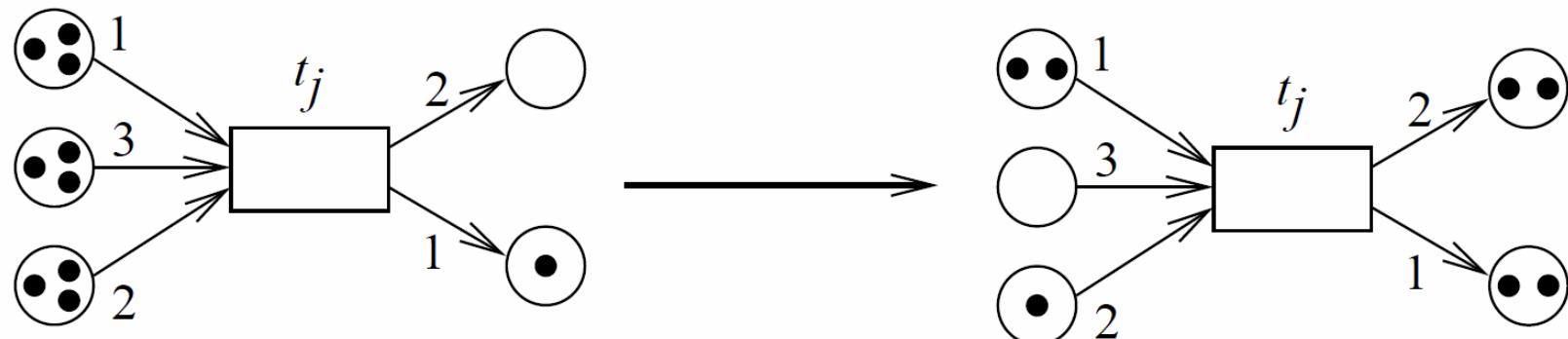
$$M'(p) = \begin{cases} M(p) - W(p,t), & \text{if } p \in \bullet t \setminus t^\bullet \\ M(p) + W(t,p), & \text{if } p \in t^\bullet \setminus \bullet t \\ M(p) - W(p,t) + W(t,p), & \text{if } p \in \bullet t \cap t^\bullet \\ M(p) & \text{otherwise} \end{cases}$$



# Activated transitions

Transition  $t$  is “activated“ iff

$$(\forall p \in {}^\bullet t : M(p) \geq W(p,t)) \wedge (\forall p \in t^\bullet : M(p) + W(t,p) \leq K(p))$$



Activated transitions can “take place“ or “fire“, but don't have to.

We never talk about “time“ in the context of Petri nets.  
The order in which activated transitions fire, is not fixed  
(it is non-deterministic).

# Shorthand for changes of markings

Slide 14:

$$M'(p) = \begin{cases} M(p) - W(p,t), & \text{if } p \in {}^\bullet t \setminus t^\bullet \\ M(p) + W(t,p), & \text{if } p \in t^\bullet \setminus {}^\bullet t \\ M(p) - W(p,t) + W(t,p), & \text{if } p \in {}^\bullet t \cap t^\bullet \\ M(p) & \text{otherwise} \end{cases}$$

Let

$$\underline{t}(p) = \begin{cases} -W(p,t) & \text{if } p \in {}^\bullet t \setminus t^\bullet \\ +W(t,p) & \text{if } p \in t^\bullet \setminus {}^\bullet t \\ -W(p,t) + W(t,p) & \text{if } p \in {}^\bullet t \cap t^\bullet \\ 0 & \text{otherwise} \end{cases}$$

$\Rightarrow$

$$\forall p \in P: M'(p) = M(p) + \underline{t}(p)$$

$\Rightarrow$

$$M' = M + \underline{t}$$

$+$ : vector add

# Matrix $\underline{N}$ describing all changes of markings

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$$\underline{t}(p) = \begin{cases} -W(p, t) & \text{if } p \in {}^\bullet t \setminus t^\bullet \\ +W(t, p) & \text{if } p \in t^\bullet \setminus {}^\bullet t \\ -W(p, t) + W(t, p) & \text{if } p \in {}^\bullet t \cap {}^\bullet t \\ 0 & \text{otherwise} \end{cases}$$

Def.: Matrix  $\underline{N}$  of net  $N$  is a mapping

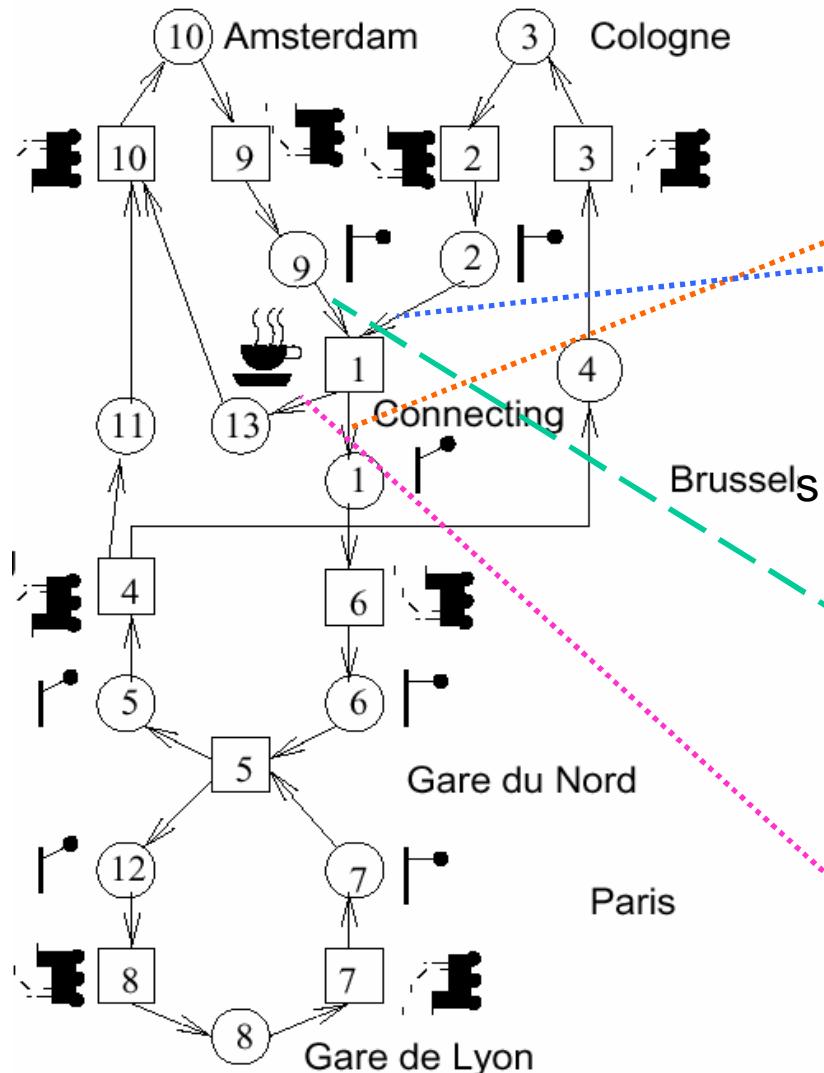
$$\underline{N}: P \times T \rightarrow \mathbb{Z} \text{ (integers)}$$

such that  $\forall t \in T : \underline{N}(p, t) = \underline{t}(p)$

Component in column  $t$  and row  $p$  indicates the change of the marking of place  $p$  if transition  $t$  takes place.

For pure nets,  $(\underline{N}, M_0)$  is a complete representation of a net.

## **Example: N =**



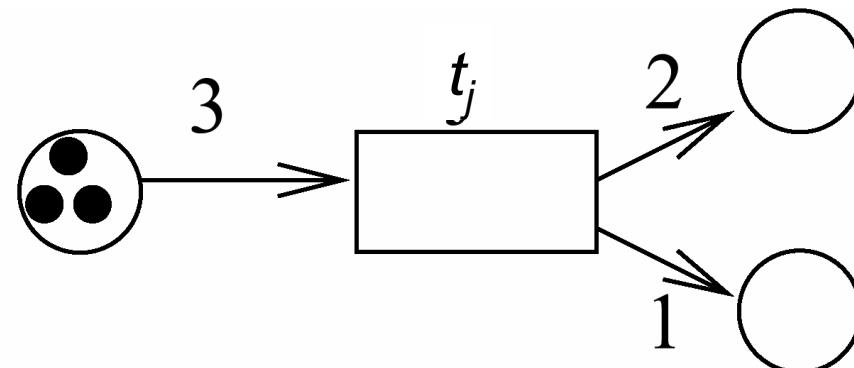
# Place - invariants

Standardized technique for proving properties of system models

For any transition  $t_j \in T$  we are looking for sets  $R \subseteq P$  of places for which the accumulated marking is constant:

$$\sum_{p \in R} t_j(p) = 0$$

Example:



# Characteristic Vector

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$$\sum_{p \in R} \underline{t}_j(p) = 0$$

Let:  $\underline{c}_R(p) = \begin{cases} 1 & \text{if } p \in R \\ 0 & \text{if } p \notin R \end{cases}$

$$\Rightarrow 0 = \sum_{p \in R} \underline{t}_j(p) = \sum_{p \in P} \underline{t}_j(p) \underline{c}_R(p) = \underline{t}_j \cdot \underline{c}_R$$

Scalar product

# Condition for place invariants

$$\sum_{p \in R} \underline{t}_j(p) = \sum_{p \in P} \underline{t}_j(p) \underline{c}_R(p) = \underline{t}_j \cdot \underline{c}_R = 0$$

Accumulated marking constant for **all** transitions if

$$\underline{t}_1 \cdot \underline{c}_R = 0$$

...

$$\underline{t}_n \cdot \underline{c}_R = 0$$

Equivalent to  $\underline{N}^T \underline{c}_R = \mathbf{0}$  where  $\underline{N}^T$  is the transposed of  $\underline{N}$

# More detailed view of computations

$$\begin{pmatrix} \underline{t}_1(p_1) & \dots & \underline{t}_1(p_n) \\ \underline{t}_2(p_1) & \dots & \underline{t}_2(p_n) \\ \dots \\ \underline{t}_m(p_1) & \dots & \underline{t}_m(p_n) \end{pmatrix} \begin{pmatrix} \underline{c}_R(p_1) \\ \underline{c}_R(p_2) \\ \dots \\ \underline{c}_R(p_n) \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \end{pmatrix}$$

System of linear equations.

Solution vectors must consist of zeros and ones.

Equations with multiple unknowns that must be integers called **diophantic** (☞ Greek mathematician Diophantos, ~300 B.C.).

Diophantic linear equation system more complex to solve than standard system of linear equations (actually NP-hard))

Different techniques for solving equation system (manual, ..)

# Application to Thalys example

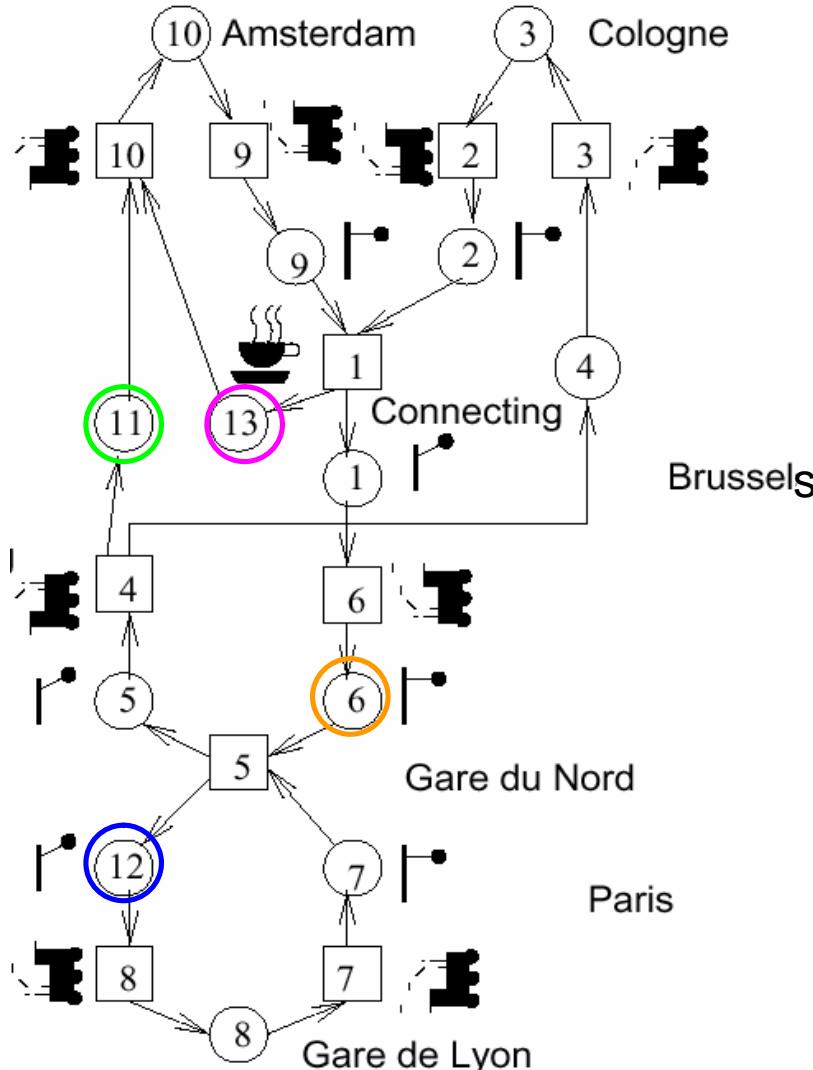
$\underline{N}^T c_R = 0$ ,  
with  $\underline{N}^T =$

	$p_1$	$p_2$	$p_3$	$p_4$	$p_5$	$p_6$	$p_7$	$p_8$	$p_9$	$p_{10}$	$p_{11}$	$p_{12}$	$p_{13}$
$t_1$	1	-1							-1				1
$t_2$		1	-1										
$t_3$			1	-1									
$t_4$				1	-1						1		
$t_5$					1	-1	-1					1	
$t_6$	-1					1							
$t_7$							1	-1					
$t_8$								1				-1	
$t_9$									1	-1			
$t_{10}$									1	1	-1		-1

- $\sum_{\text{rows}} = 0 \rightarrow 1$  linear dependency among rows
- $\rightarrow \text{rank} = 10 - 1 = 9$
- Dimension of solution space =  $13 - \text{rank} = 4$

Solutions? Educated guessing

# Manually finding generating vectors for 4-dimensional space



Set 1 of 4 components = 1  
others = 0 to obtain generating vectors

- Find independent sets components in the matrix after deleting one row (7, 8, 12 easy to identify)

	$p_1$	$p_2$	$p_3$	$p_4$	$p_5$	$p_6$	$p_7$	$p_8$	$p_9$	$p_{10}$	$p_{11}$	$p_{12}$	$p_{13}$
$t_1$	1	-1							-1				1
$t_2$		1	-1										
$t_3$			1	-1									
$t_4$				1	-1						1		
$t_5$					1	-1	-1					1	
$t_6$	-1					1							
$t_7$							1	-1					
$t_8$								1					-1
$t_9$									1	-1			
$t_{10}$									1	1	-1		-1

- or assume that a particular place is included in  $R$  (e.g.  $p_6$ ), whereas places along the path of other objects (e.g.  $p_{11}, p_{12}, p_{13}$ ) are not.

# 1<sup>st</sup> basis vector

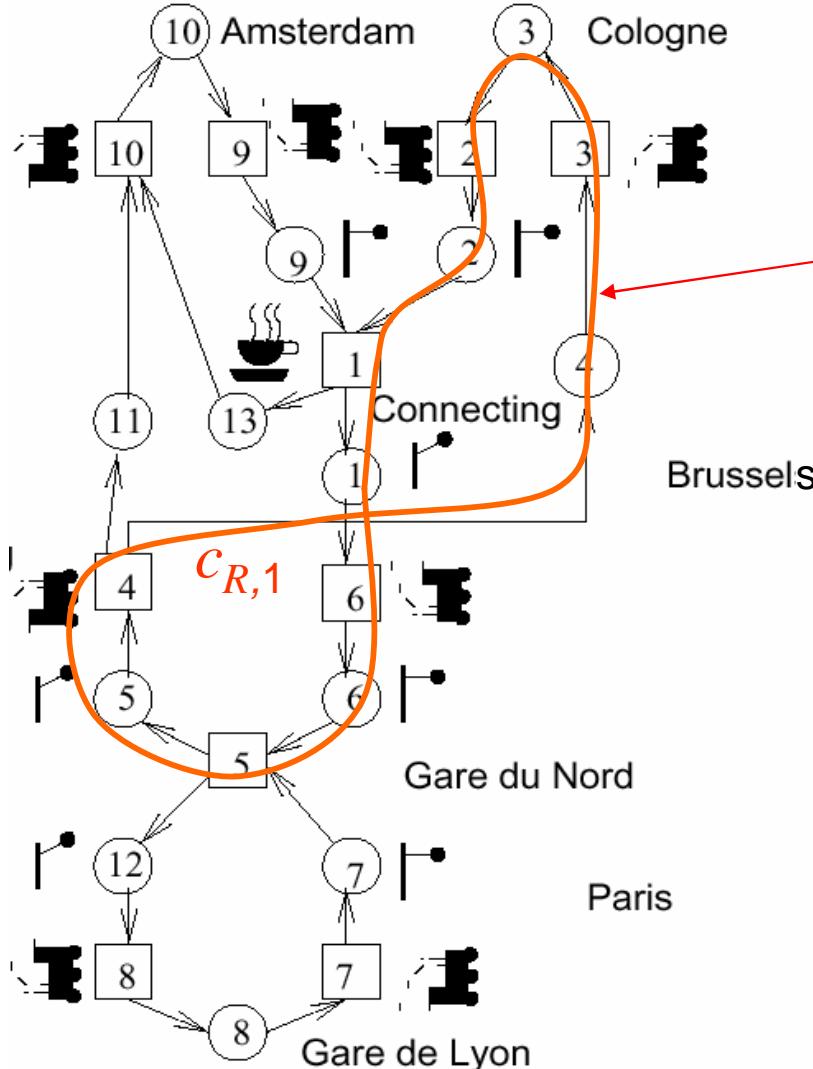
Set one of components  
(6, 11, 12, 13)  
to 1, others to 0.  
→ **1st basis**  $b_1$ :  
 $b_1(p_6)=1, b_1(p_{11})=0,$   
 $b_1(p_{12})=0, b_1(p_{13})=0$

	$p_1$	$p_2$	$p_3$	$p_4$	$p_5$	$p_6$	$p_7$	$p_8$	$p_9$	$p_{10}$	$p_{11}$	$p_{12}$	$p_{13}$
$t_1$	1	-1							-1				1
$t_2$		1	-1										
$t_3$			1	-1									
$t_4$				1	-1								
$t_5$					1	-1	-1						
$t_6$			-1			1							
$t_7$							1	-1					
$t_8$								1					
$t_9$									1	-1			
$t_{10}$									1	1	-1		-1

- $t_{10}(p_{10}) b_1(p_{10}) + t_{10}(p_{11}) b_1(p_{11}) + t_{10}(p_{13}) b_1(p_{13}) = 0$   
→  $b_1(p_{10}) = 0$
  - $t_9(p_9) b_1(p_9) + t_9(p_{10}) b_1(p_{10}) = 0$   
→  $b_1(p_9) = 0$
  - ...
- $b_1 = (1, 1, 1, 1, 1, 1, 0, 0, 0, 0, 0, 0, 0)$

All components  $\in \{0, 1\}$   
→  $c_{R1} = b_1$

# Interpretation of the 1<sup>st</sup> invariant



$$c_{R,1} = (1 \ 1 \ 1 \ 1 \ 1 \ 1 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0)$$

Characteristic vector describes places for Cologne train.

We proved that: the number of trains along the path remains constant 😊.

## 2<sup>nd</sup> basis vector

Set one of components

(6, 11, 12, 13)

to 1, others to 0.

→ **2nd basis**  $b_2$ :

$$b_2(p_6)=0, b_2(p_{11})=1,$$

$$b_2(p_{12})=0, b_2(p_{13})=0$$

	$p_1$	$p_2$	$p_3$	$p_4$	$p_5$	$p_6$	$p_7$	$p_8$	$p_9$	$p_{10}$	$p_{11}$	$p_{12}$	$p_{13}$
$t_1$	1	-1							-1				1
$t_2$		1	-1										
$t_3$			1	-1									
$t_4$				1	-1								
$t_5$					1	-1	-1						
$t_6$			-1										
$t_7$									1				
$t_8$										1			
$t_9$										1	-1		
$t_{10}$											-1		-1

- $t_{10}(p_{10}) b_2(p_{10}) + t_{10}(p_{11}) b_2(p_{11}) + t_{10}(p_{13}) b_2(p_{13}) = 0$

$$\rightarrow b_2(p_{10}) = 1$$

- $t_9(p_9) b_2(p_9) + t_9(p_{10}) b_2(p_{10}) = 0$

$$\rightarrow b_2(p_9) = 1$$

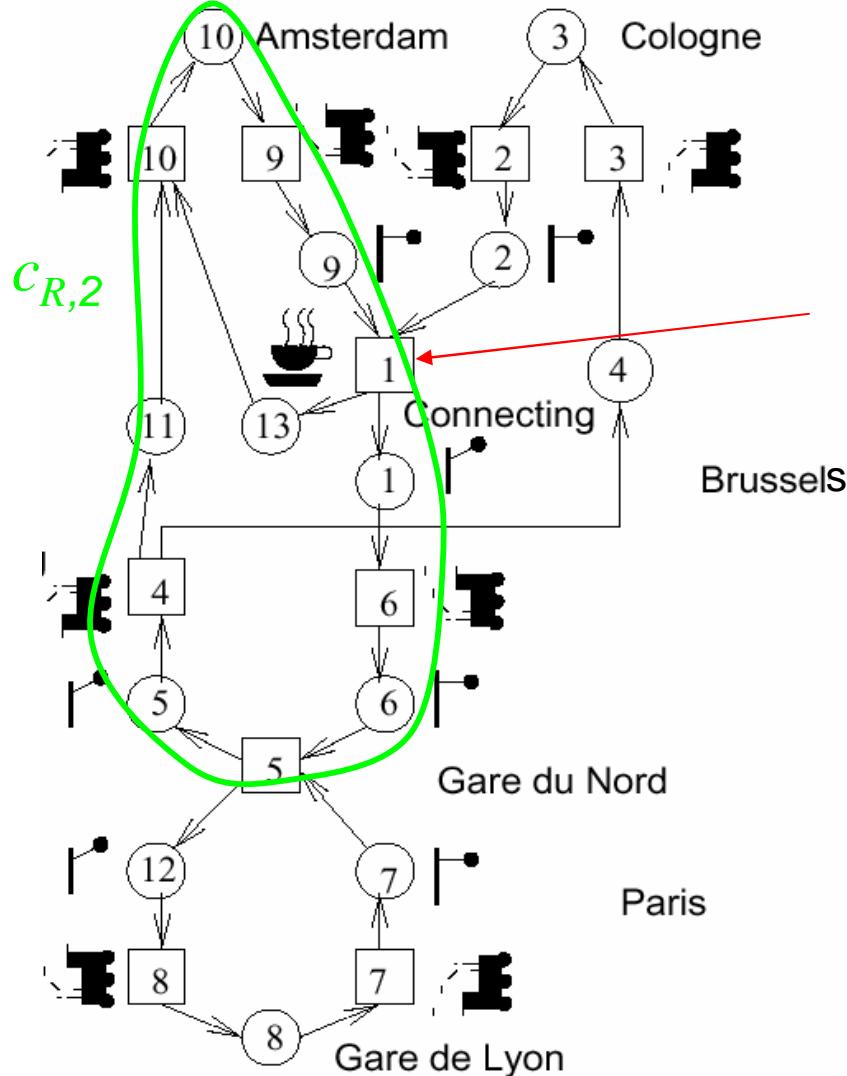
...

$$b_2 = (0, -1, -1, -1, 0, 0, 0, 0, 1, 1, 1, 0, 0)$$

$$b_1 = (1, 1, 1, 1, 1, 1, 0, 0, 0, 0, 0, 0, 0, 0)$$

$b_2$  not a characteristic vector, but  $c_{R,2} = b_1 + b_2$  is  
 $\rightarrow c_{R,2} = (1, 0, 0, 0, 1, 1, 0, 0, 1, 1, 1, 0, 0)$

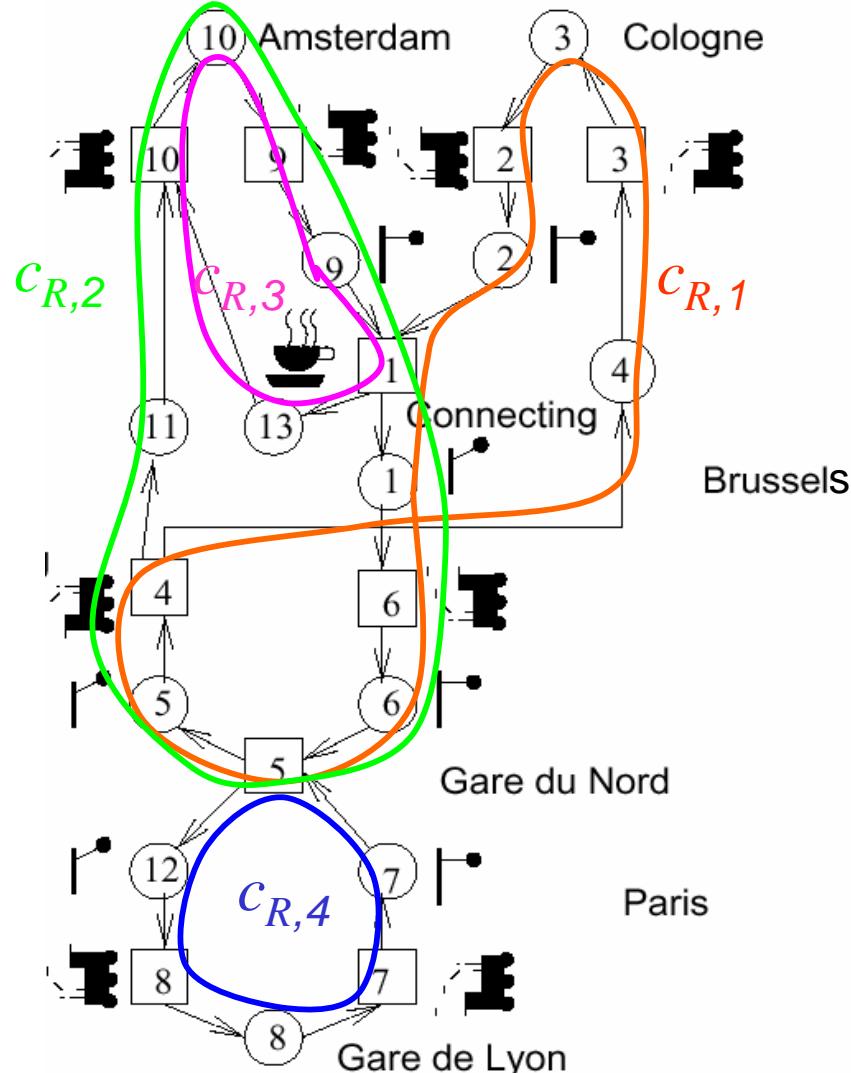
# Interpretation of the 2<sup>nd</sup> invariant



$$c_{R,2} = (1, 0, 0, 0, 1, 1, 0, 0, 1, 1, 1, 0, 0)$$

We proved that:  
None of the Amsterdam  
trains gets lost (nice to  
know ☺).

# Setting $b_3(p_{12})$ to 1 and $b_4(p_{13})$ to 1 leads to an additional 2 invariants



$$c_{R,1} = (1 \ 1 \ 1 \ 1 \ 1 \ 1 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0)$$
$$c_{R,2} = (1 \ 0 \ 0 \ 0 \ 1 \ 1 \ 0 \ 0 \ 1 \ 1 \ 1 \ 0 \ 0)$$
$$c_{R,3} = (0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 1 \ 1 \ 0 \ 0 \ 1)$$
$$c_{R,4} = (0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 1 \ 1 \ 0 \ 0 \ 0 \ 1 \ 0)$$

We proved that:

- the number of trains serving Amsterdam, Cologne and Paris remains constant.
- the number of train drivers remains constant.

# Applications

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- Modeling of resources;
- modeling of mutual exclusion;
- modeling of synchronization.

# Predicate/transition nets

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Goal: compact representation of complex systems.

Key changes:

- Tokens are becoming individuals;
- Transitions enabled if functions at incoming edges true;
- Individuals generated by firing transitions defined through functions

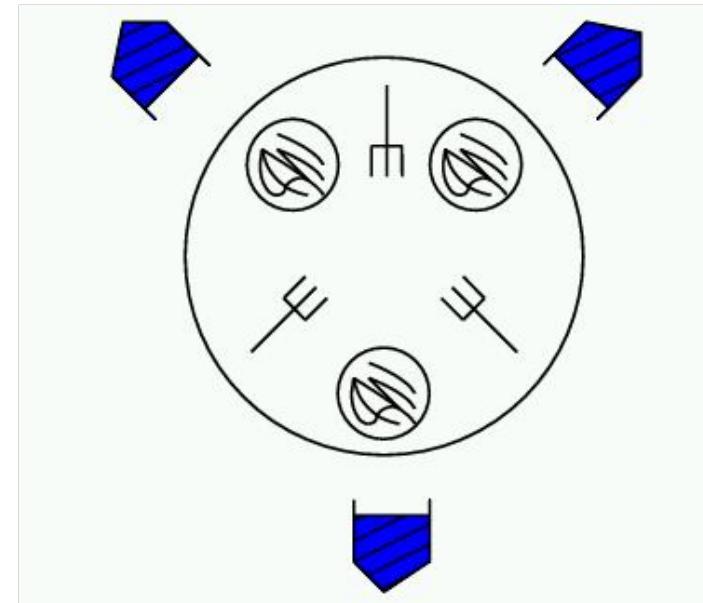
Changes can be explained by folding and unfolding C/E nets,  
☞ semantics can be defined by C/E nets.

# Example: Dining philosophers problem

$n > 1$  philosophers sitting at a round table;  
 $n$  forks,  
 $n$  plates with spaghetti;  
philosophers either thinking or eating spaghetti  
(using left and right fork).

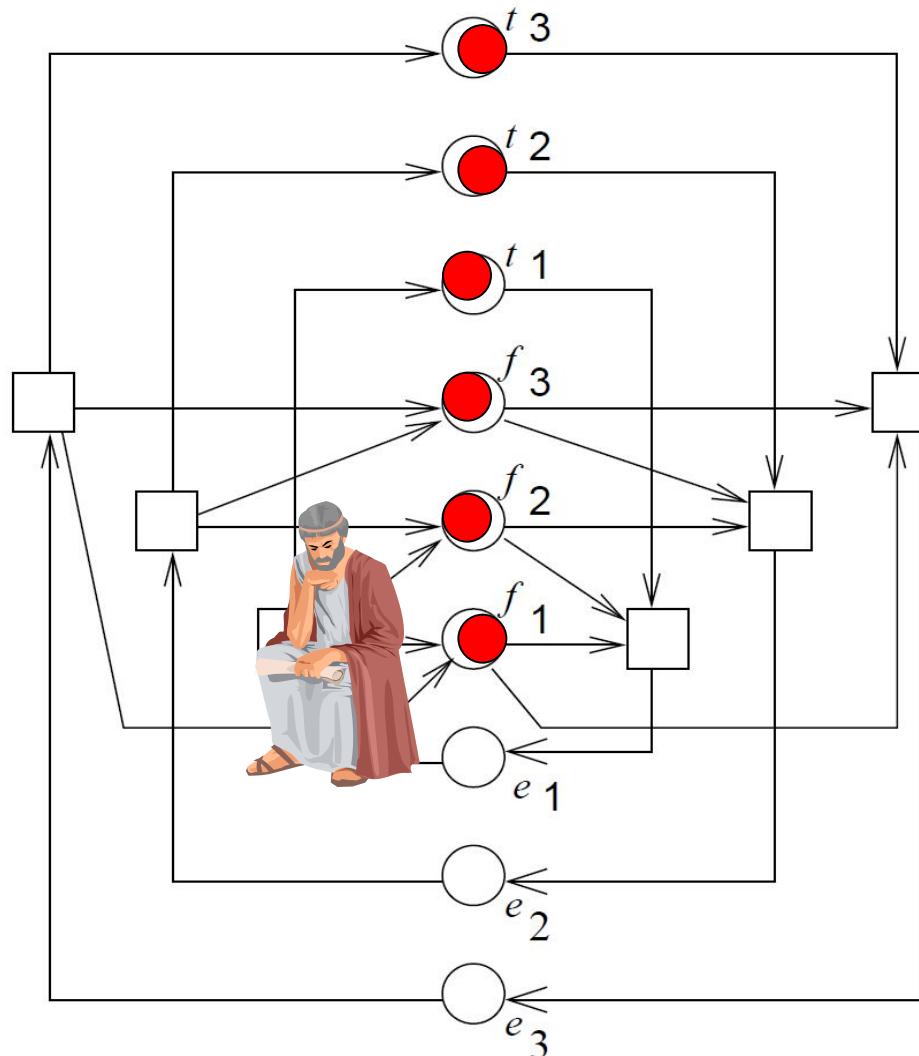


2 forks  
needed!



How to model conflict for forks?  
How to guarantee avoiding starvation?

# Condition/event net model of the dining philosophers problem

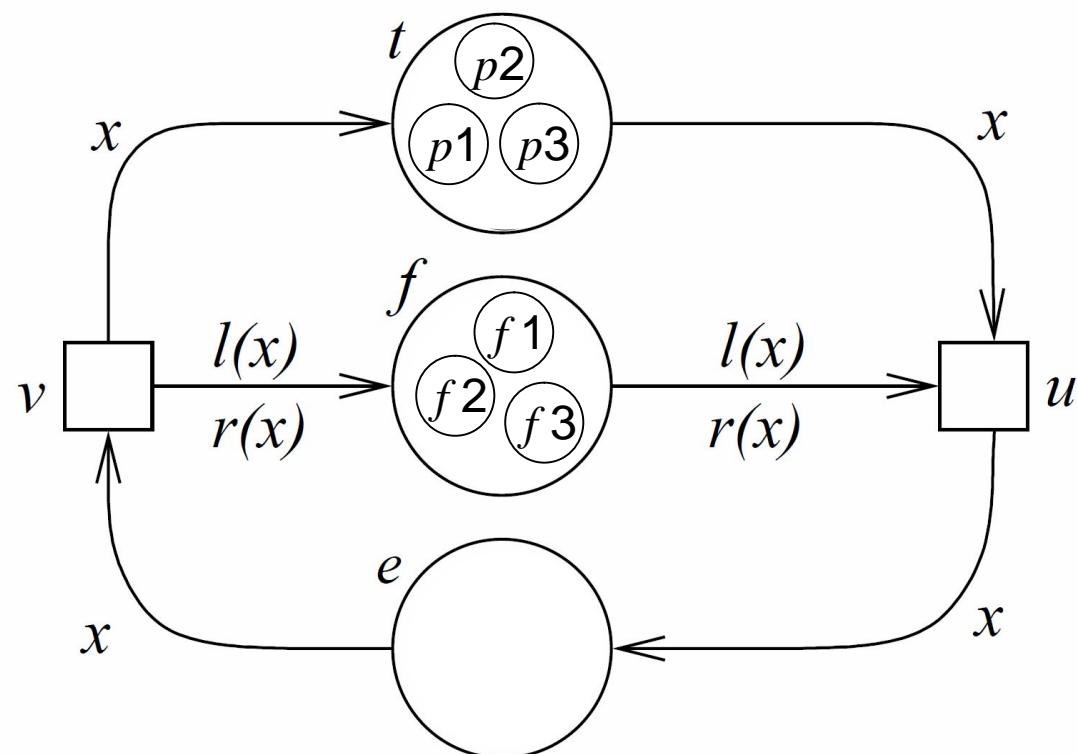


Let  $x \in \{1..3\}$   
 $t_x$ :  $x$  is thinking  
 $e_x$ :  $x$  is eating  
 $f_x$ : fork  $x$  is available

Model quite clumsy.  
Difficult to extend to more philosophers.

# Predicate/transition model of the dining philosophers problem (1)

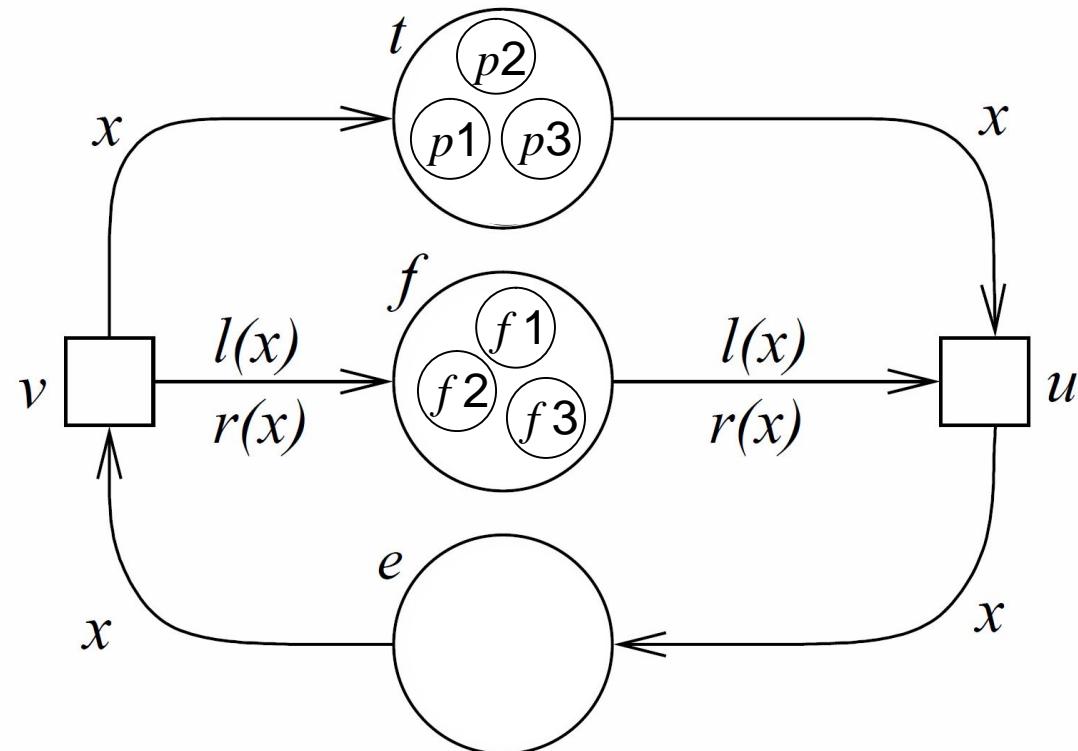
Let  $x$  be one of the philosophers,  
let  $l(x)$  be the left spoon of  $x$ ,  
let  $r(x)$  be the right spoon of  $x$ .



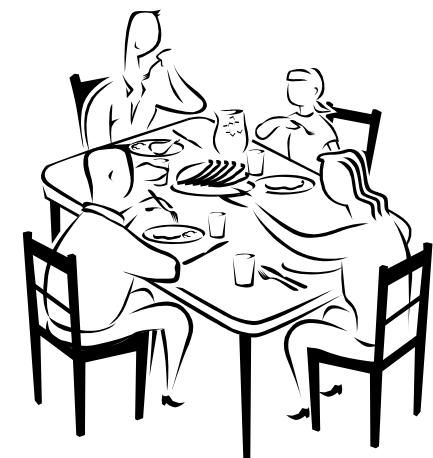
Tokens:  
individuals.

Semantics can be  
defined by  
replacing net by  
equivalent  
condition/event  
net.

# Predicate/transition model of the dining philosophers problem (2)



Model can be extended to arbitrary numbers of people.



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# Evaluation

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## Pros:

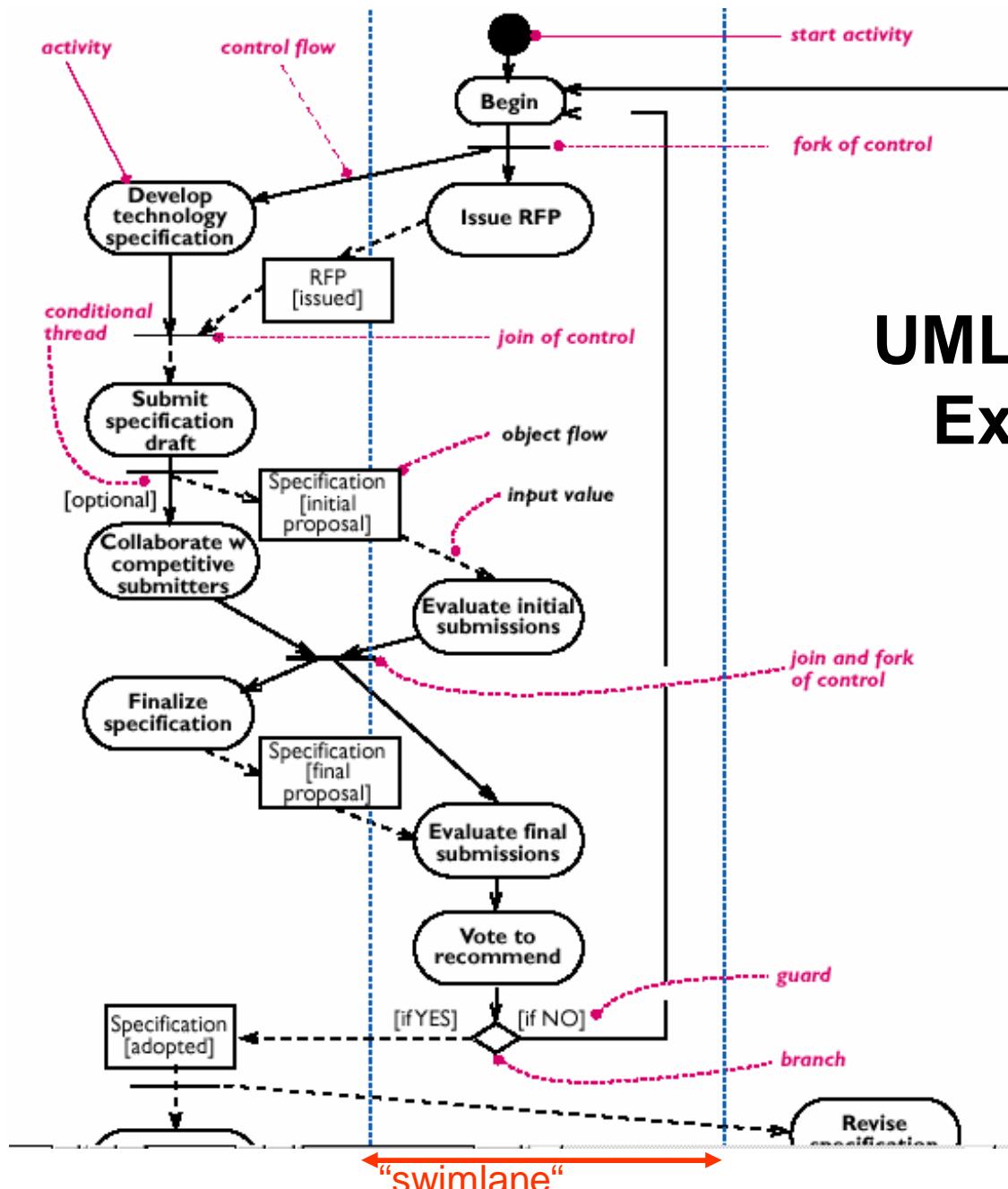
- Appropriate for distributed applications,
- Well-known theory for formally proving properties,
- Initially a quite bizarre topic, but now accepted due to increasing number of distributed applications.

## Cons (for the nets presented) :

- problems with modeling timing,
- no programming elements,
- no hierarchy.

## Extensions:

- Enormous amounts of efforts on removing limitations.



## UML Activity diagrams: Extended Petri nets

Include decisions  
(like in flow charts).  
Graphical notation  
similar to SDL.

© Cris Kobryn: UML 2001: A Standardization Odyssey, CACM, October, 1999

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# Summary

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Petri nets: focus on causal dependencies

- Condition/event nets
  - Single token per place
- Place/transition nets
  - Multiple tokens per place
- Predicate/transition nets
  - Tokens become individuals
  - Dining philosophers used as an example
- Extensions required to get around limitations

Activity diagrams in UML are extended Petri nets