

# Early design phases

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(slides are based on  
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TU Dortmund,  
Informatik 12

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# Models of computation considered in this course

Communication/ local computations	Shared memory	Message passing	
		Synchronous	Asynchronous
Undefined components	Plain text, use cases (Message) sequence charts		
Communicating finite state machines	StateCharts		SDL
Data flow			Kahn networks, SDF
Petri nets		C/E nets, P/T nets, ...	
Discrete event (DE) model	VHDL*, Verilog*, SystemC*, ...	Only experimental systems, e.g. distributed DE in Ptolemy	
Von Neumann model	C, C++, Java	C, C++, Java with libraries CSP, ADA	

# Capturing the requirements as text

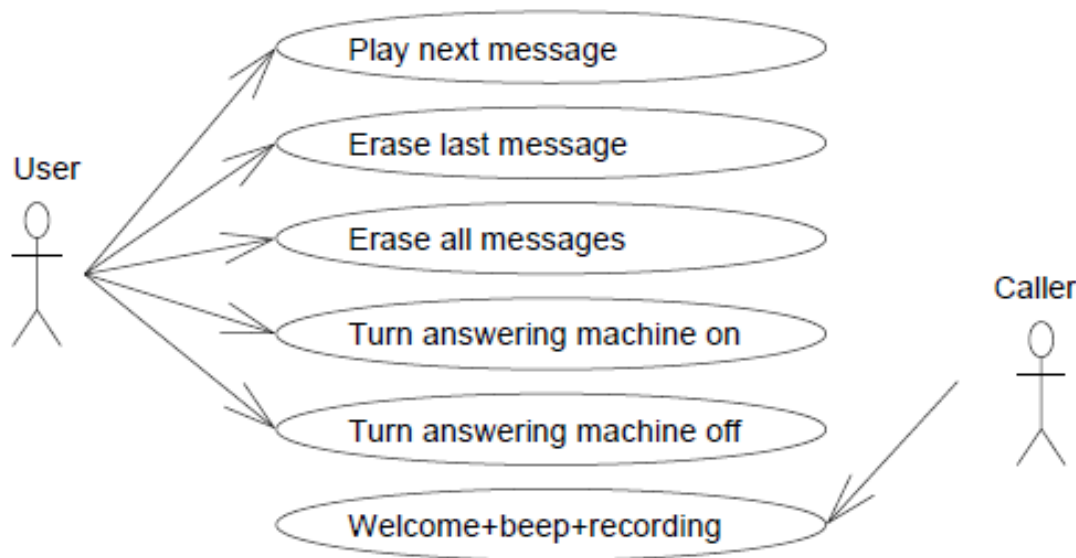
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- In the very early phases of some design project, only descriptions of the system under design (SUD) in a natural language such as English or Japanese exist.
- Expectations for tools:
  - Machine-readable
  - Version management
  - Dependency analysis



# Use cases

- Use cases describe possible applications of the SUD
- Included in UML (Unified Modeling Language)
- Example: Answering machine

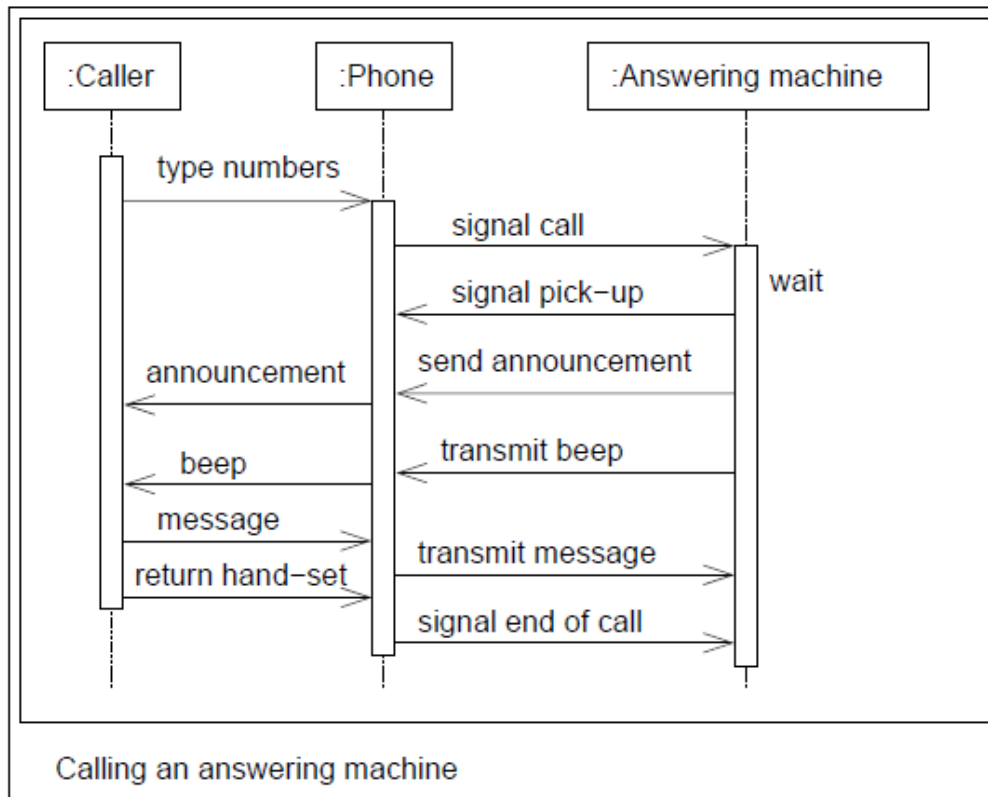


- Neither a precisely specified model of the computations nor a precisely specified model of the communication

# (Message) Sequence charts

- Explicitly indicate exchange of information
- One dimension (usually vertical dimension) reflects time
- The other reflects distribution in space

Example:



- Included in UML
- Earlier called Message Sequence Charts, now mostly called Sequence Charts

# Application: In-Car Navigation System

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Car radio with navigation system

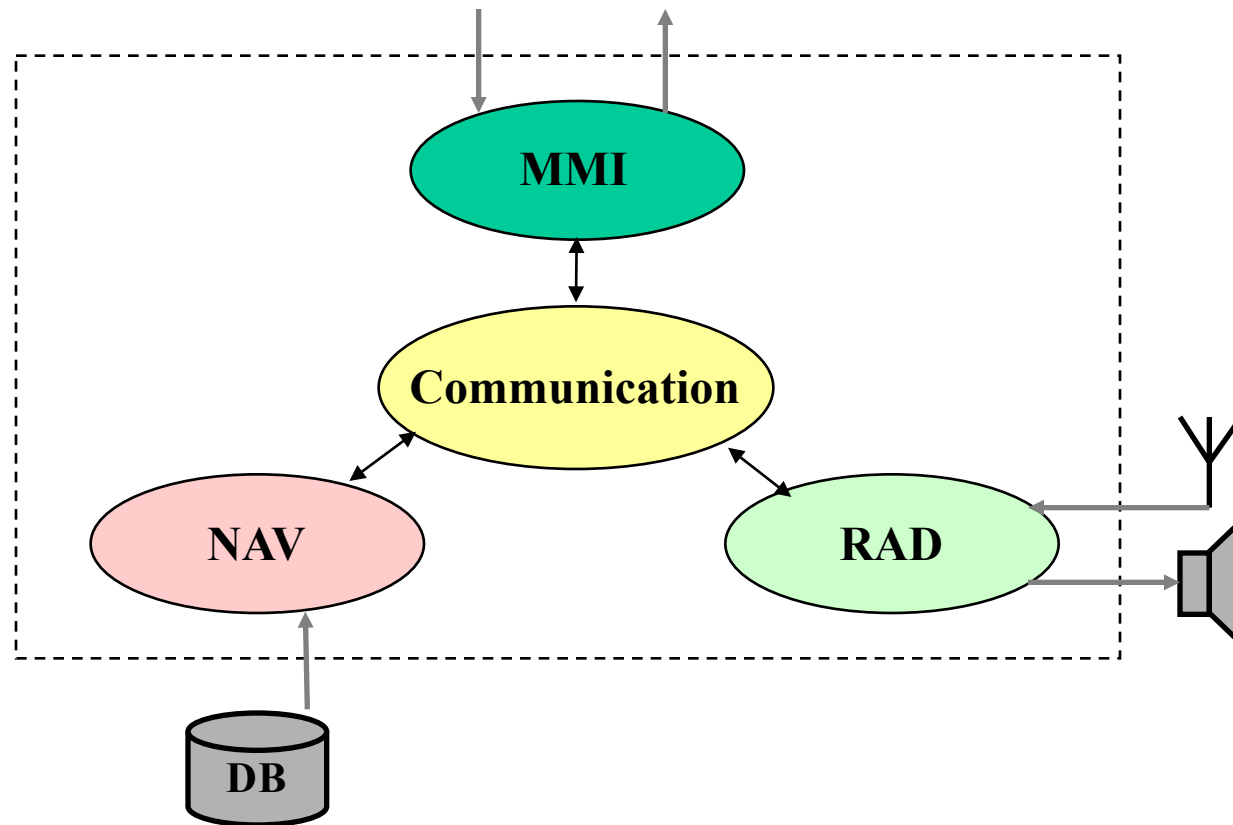
User interface needs to be responsive

Traffic messages (TMC) must be processed in a timely way

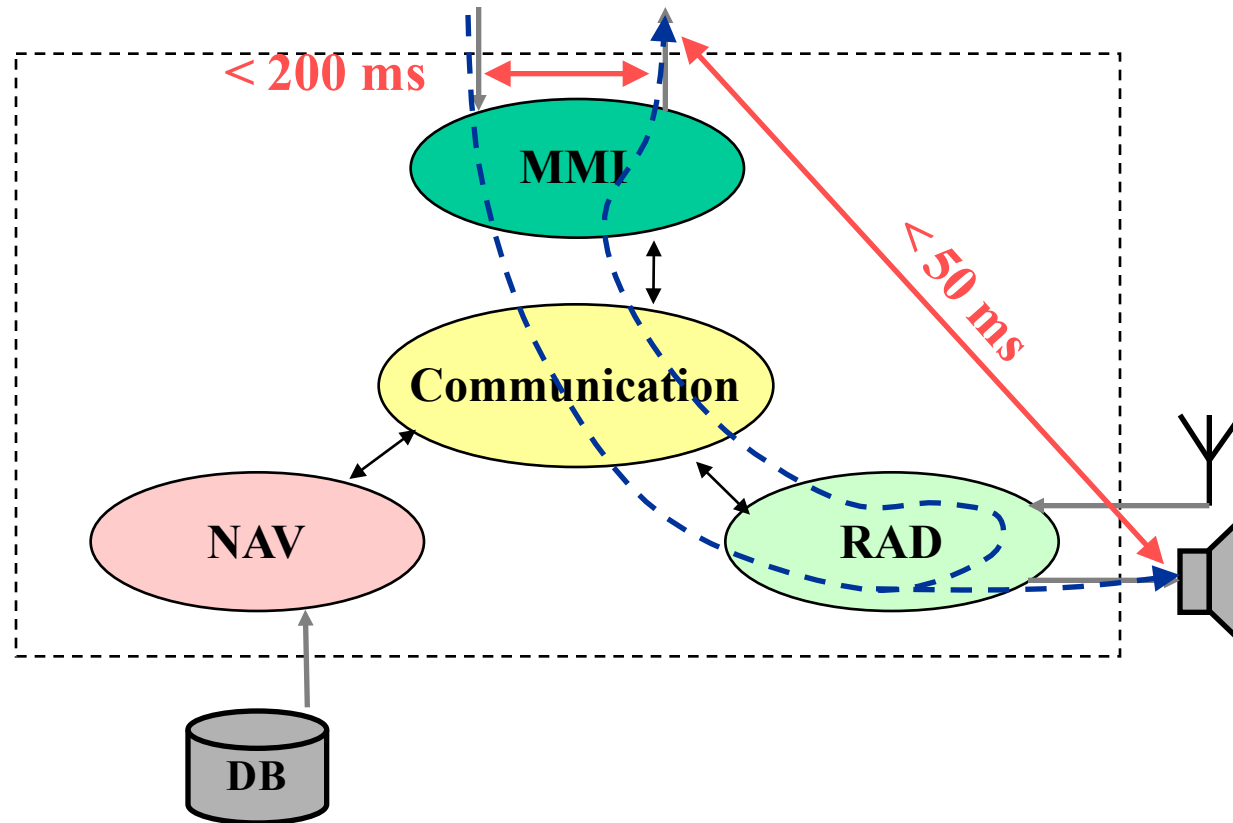
Several applications may execute concurrently



# System Overview



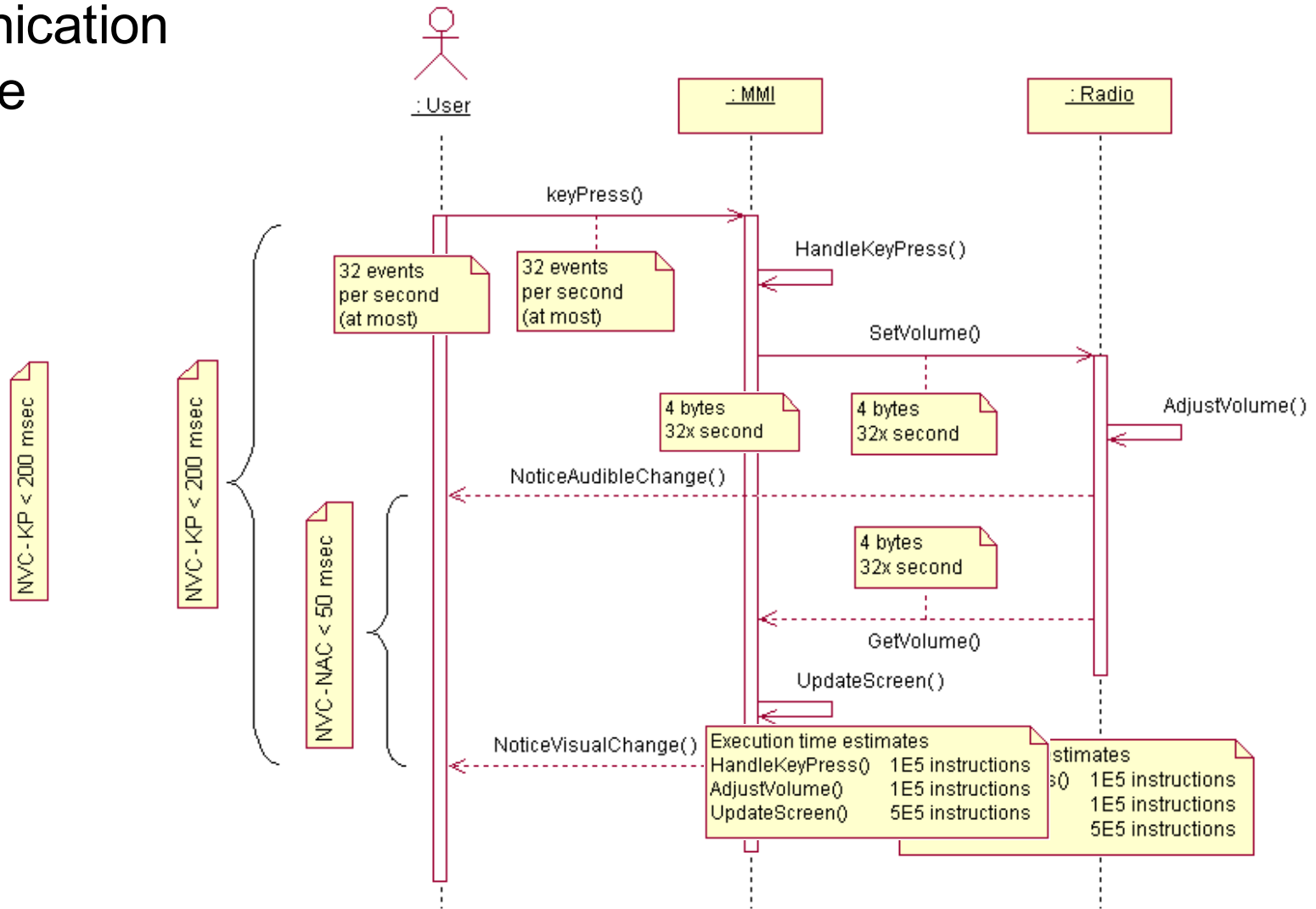
# Use case: Change Audio Volume



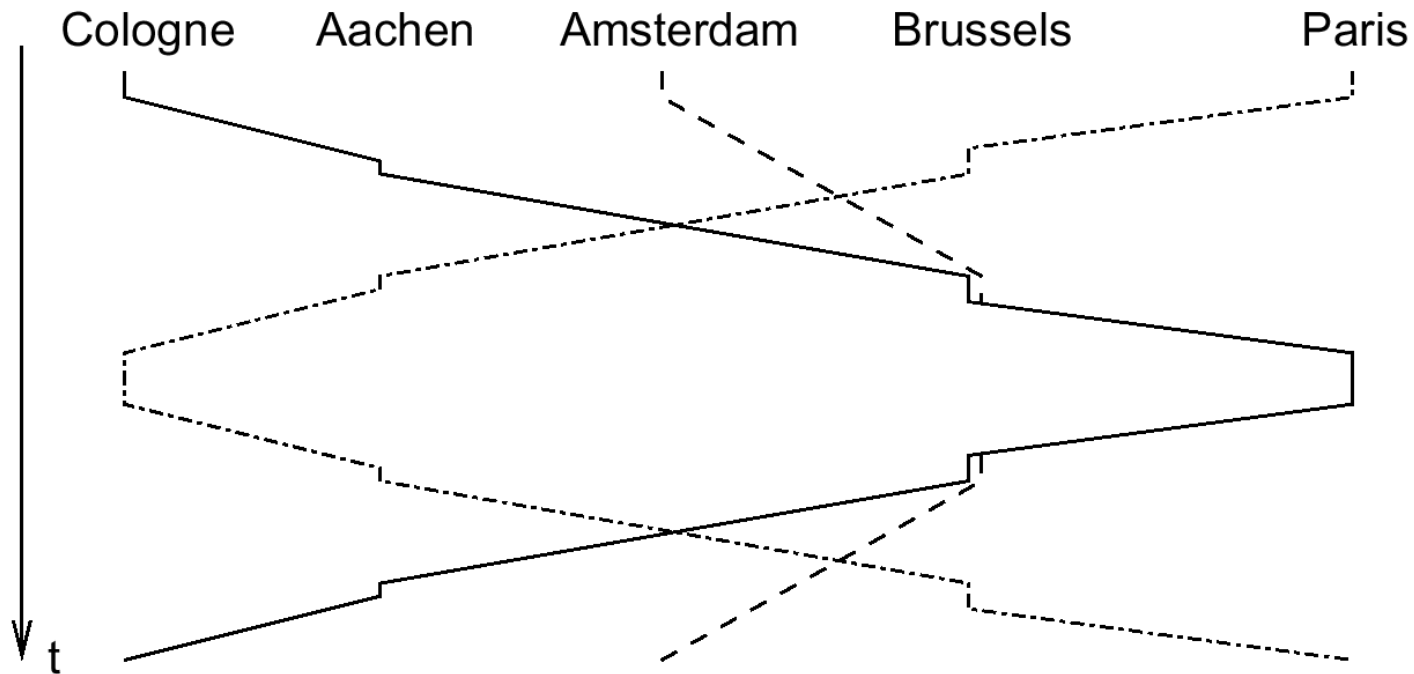


# Use case: Change Audio Volume

Communication  
Resource  
Demand

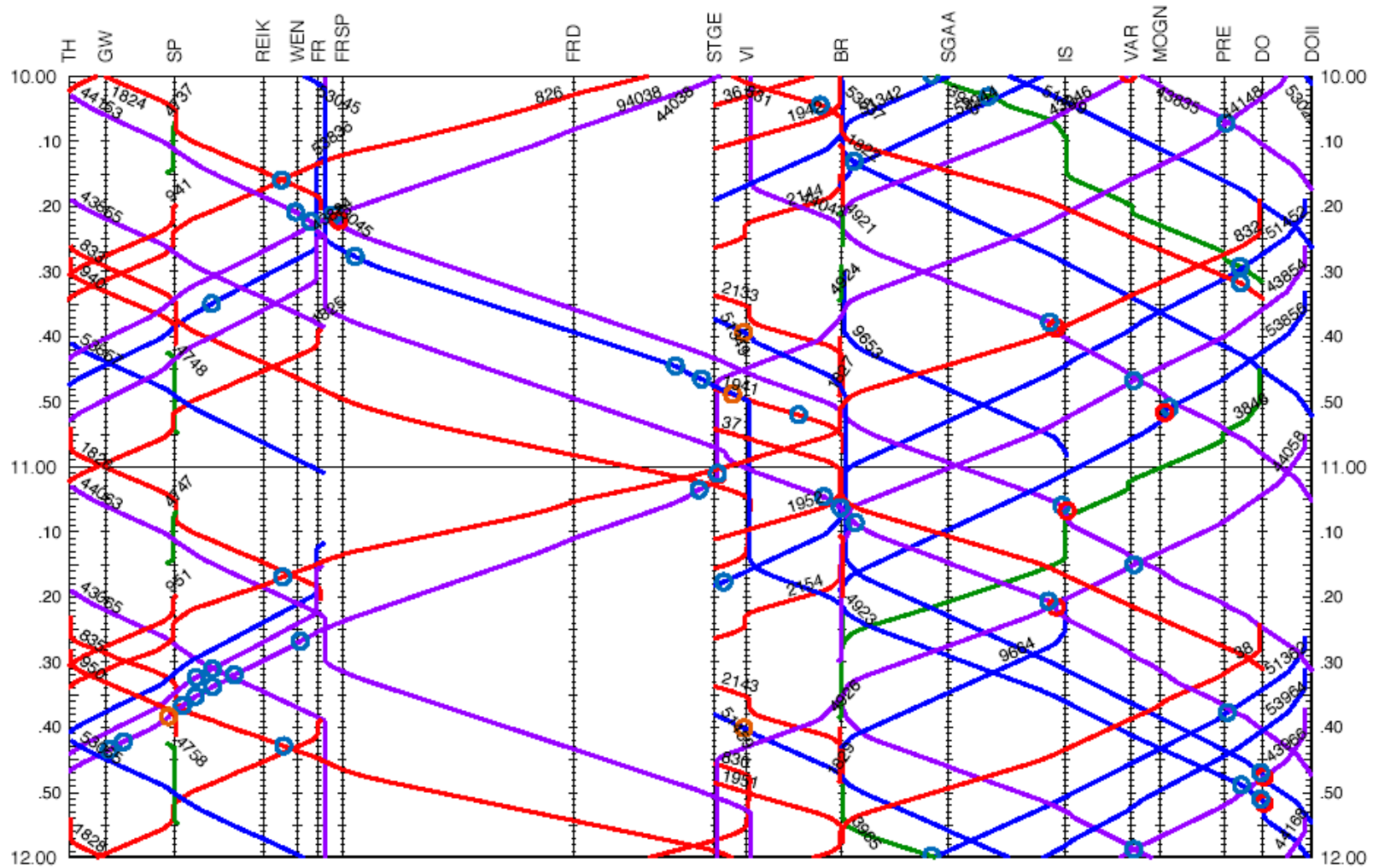


# Time/distance diagrams as a special case



No distinction between accidental overlap and synchronization

# Time/distance diagrams as a special case



# Summary

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- Support for early design phases
  - Text
  - Use cases
  - (Message) sequence charts

# StateCharts and StateMates

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# StateCharts

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Classical automata not useful for complex systems  
(complex graphs cannot be understood by humans).

☞ Introduction of hierarchy ☞ StateCharts [Harel, 1987]

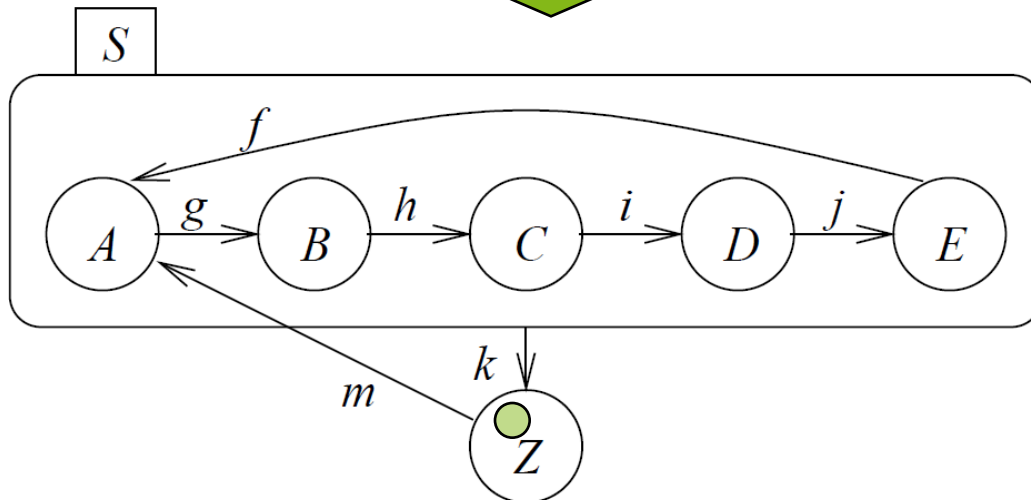
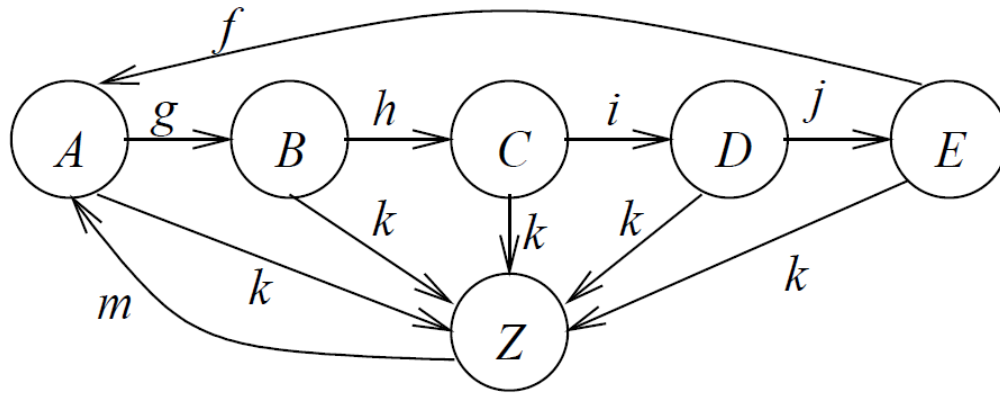
StateChart = *the only unused combination of  
„flow“ or „state“ with „diagram“ or „chart“*

Used here as a (prominent) example of a  
model of computation based on shared  
memory communication.

☞ appropriate only for local  
(non-distributed) systems



# Introducing hierarchy

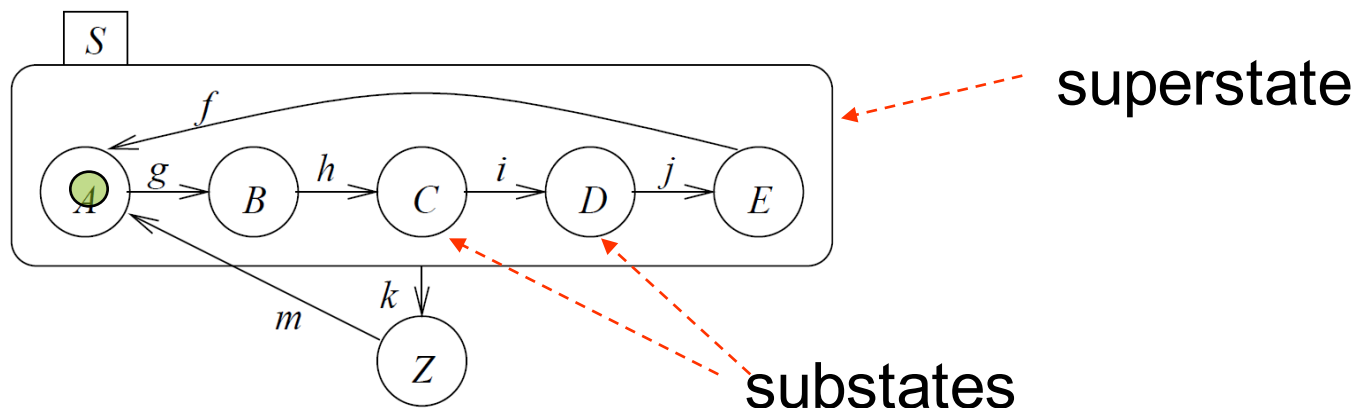


FSM will be **in** exactly one of the substates of S if S is **active** (either in A or in B or ..)



# Definitions

- Current states of FSMs are also called **active** states.
- States which are not composed of other states are called **basic states**.
- States containing other states are called **super-states**.
- Super-states  $S$  are called **OR-super-states**, if exactly one of the sub-states of  $S$  is active whenever  $S$  is active.



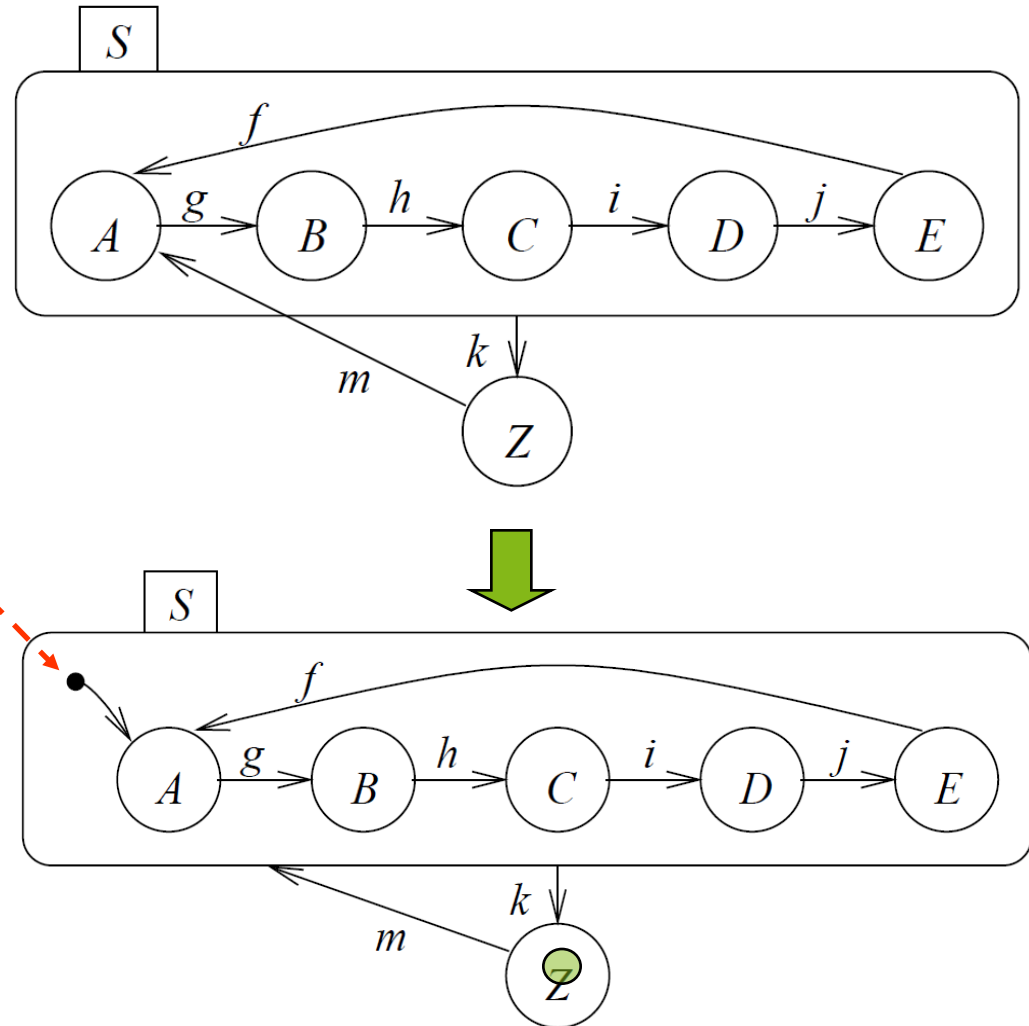
# Default state mechanism

Try to hide internal structure from outside world!

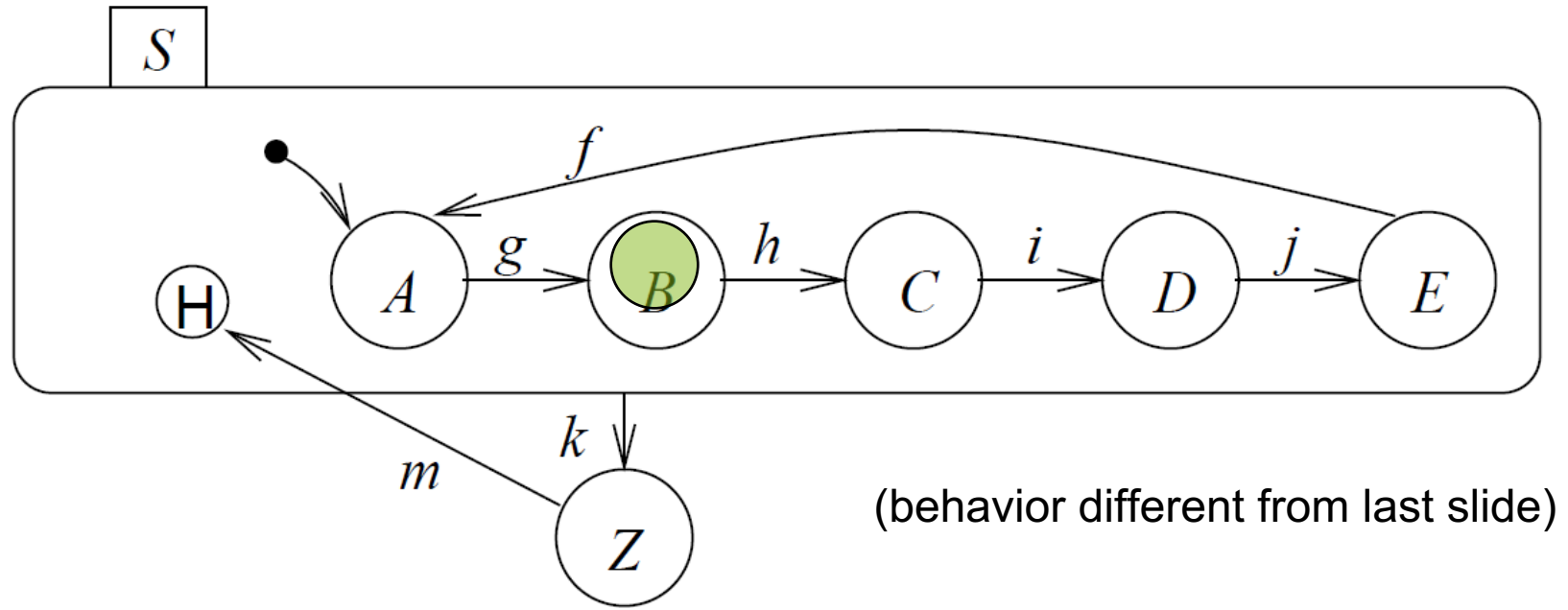
☞ Default state

Filled circle indicates sub-state entered whenever super-state is entered.

Not a state by itself!



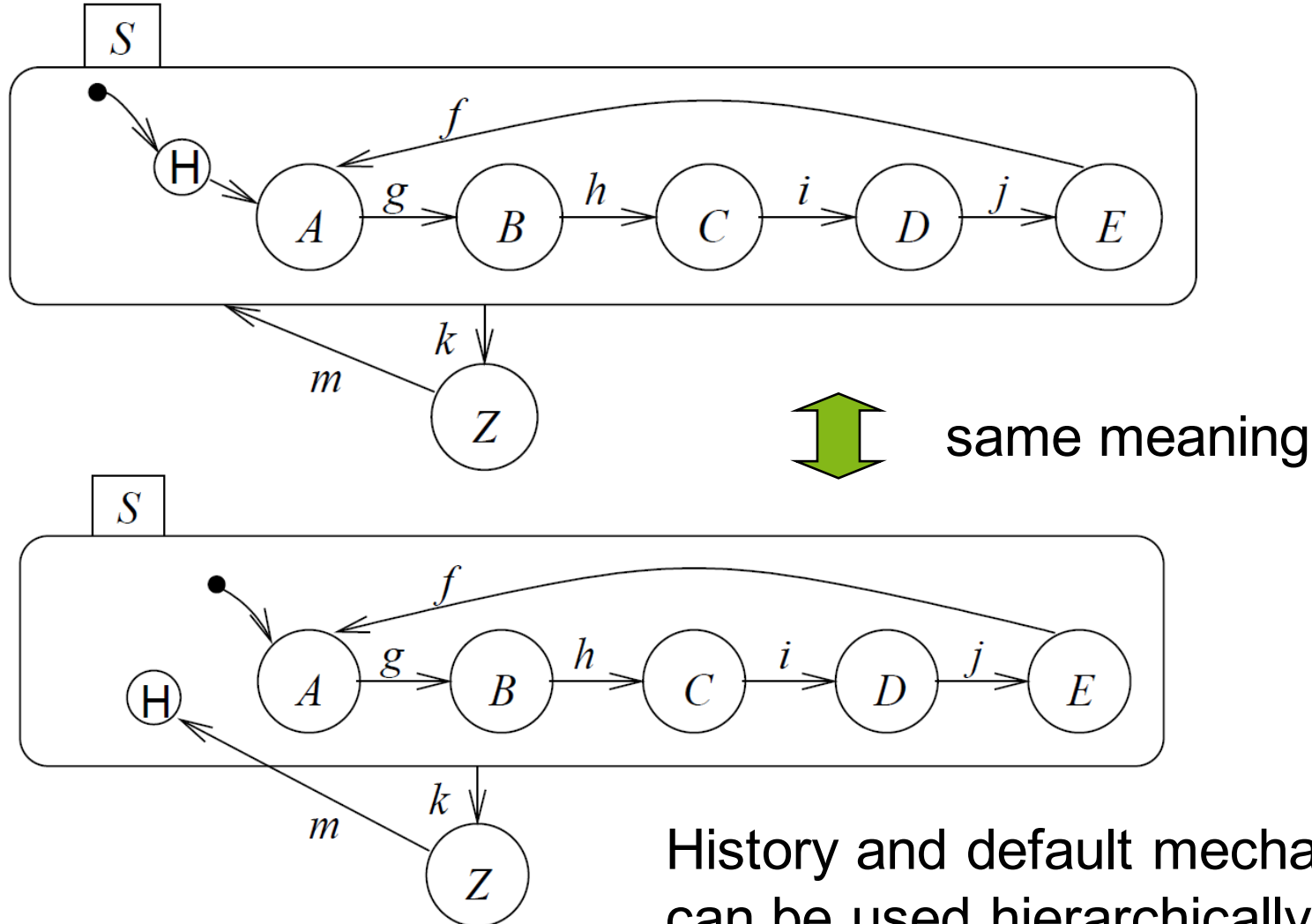
# History mechanism



For input  $m$ ,  $S$  enters the state it was in before  $S$  was left (can be  $A$ ,  $B$ ,  $C$ ,  $D$ , or  $E$ ).

If  $S$  is entered for the first time, the default mechanism applies.

# Combining history and default state mechanism



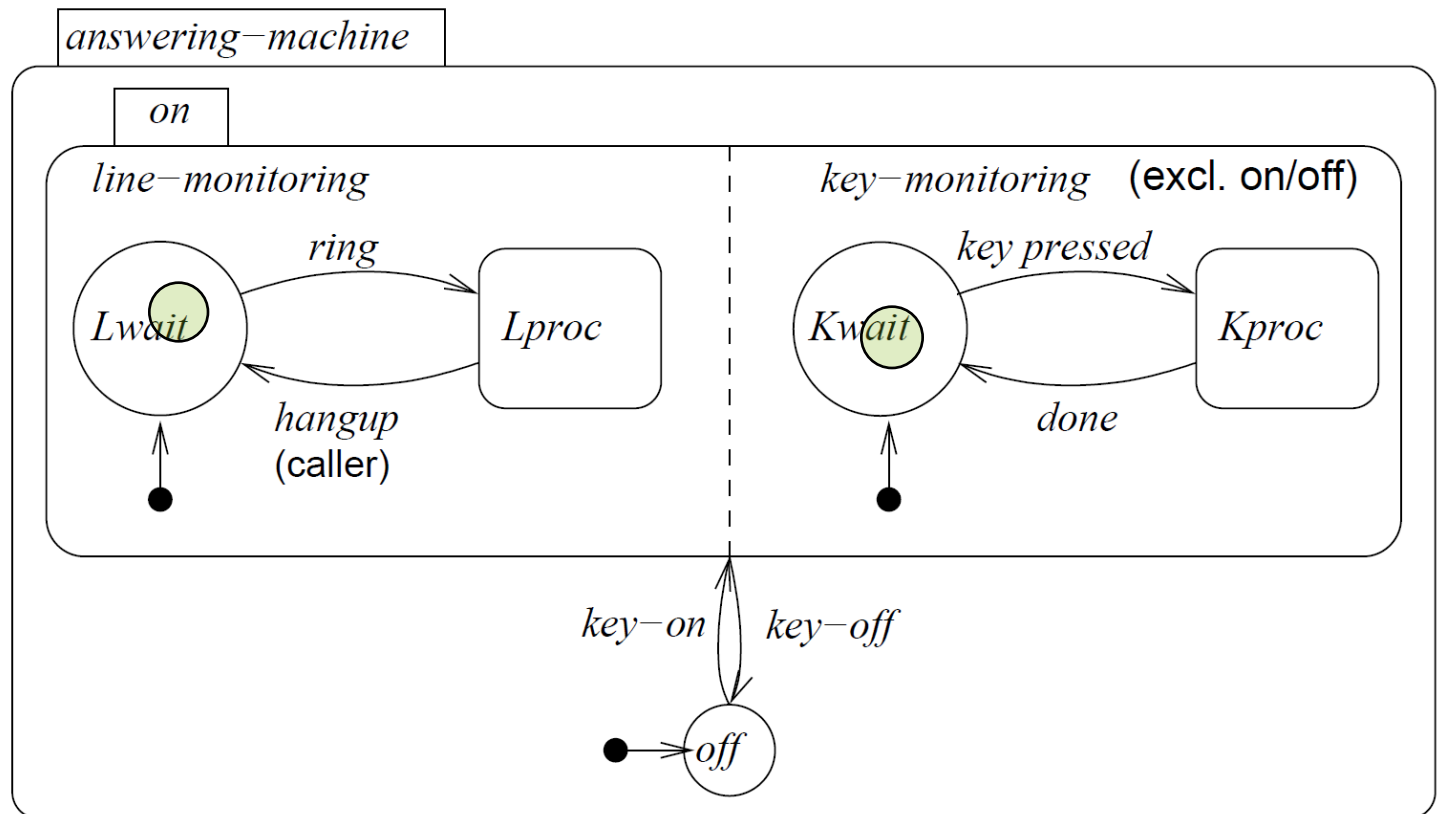
History and default mechanisms can be used hierarchically.

# Concurrency

Convenient ways of describing concurrency req.

**AND-super-states:** FSM is in **all** (immediate) sub-states of a super-state;

Example:



# Types of states

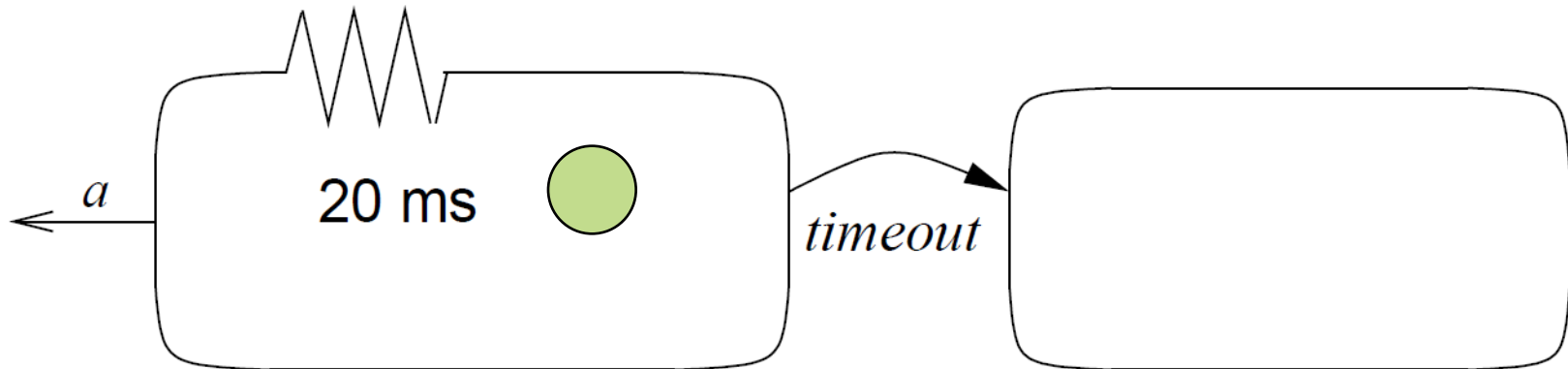
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In StateCharts, states are either

- **basic states, or**
- **AND-super-states, or**
- **OR-super-states.**

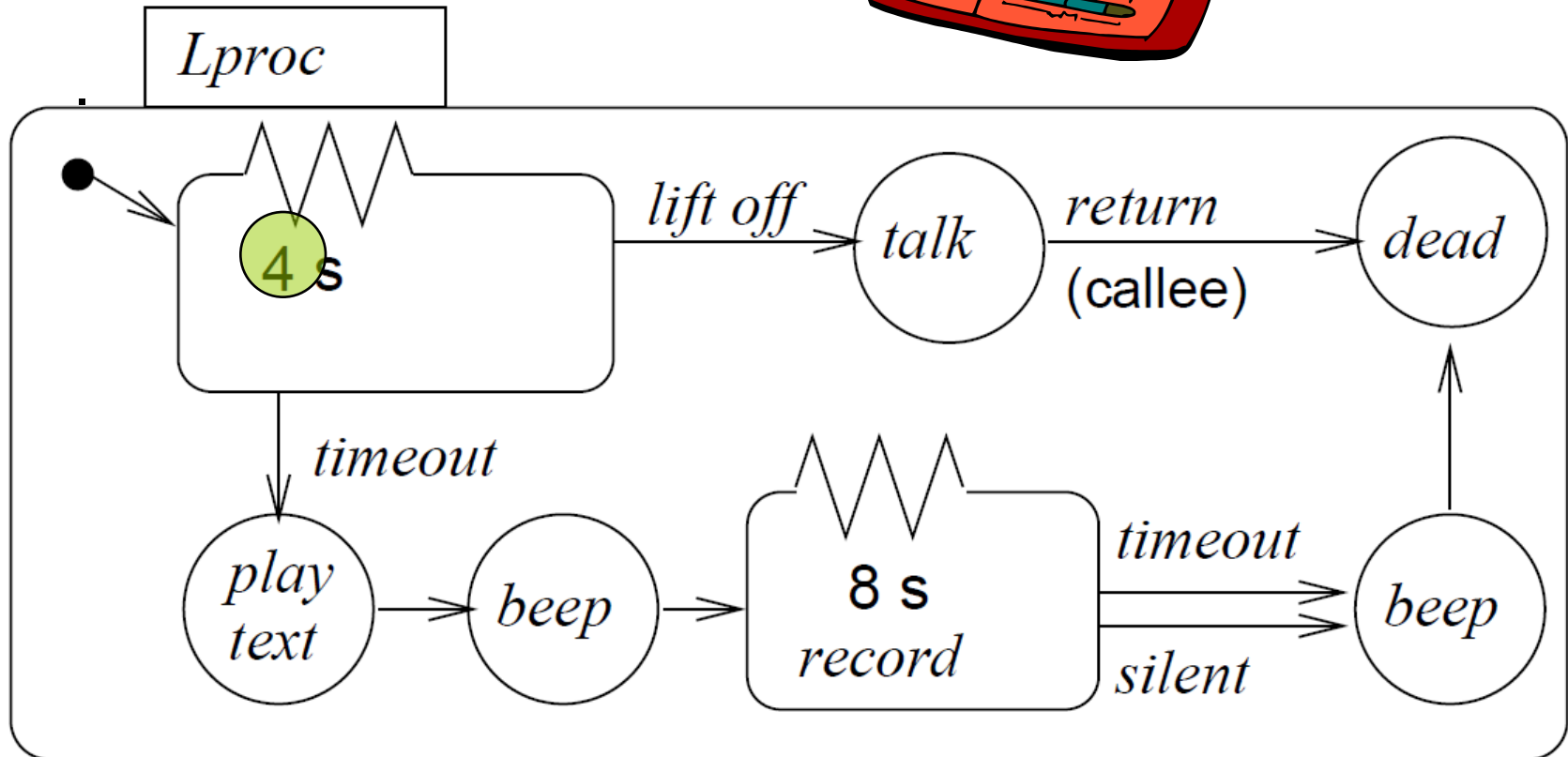
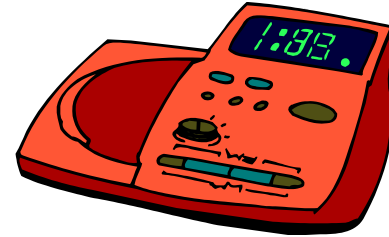
# Timers

Since time needs to be modeled in embedded & cyber-physical systems, timers need to be modeled. In StateCharts, special edges can be used for timeouts.



If event *a* does not happen while the system is in the left state for 20 ms, a timeout will take place.

# Using timers in an answering machine





# General form of edge labels

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## Events:

- Exist only until the next evaluation of the model
- Can be either internally or externally generated

## Conditions:

- Refer to values of variables that keep their value until **they are reassigned**

## Reactions:

- Can either be assignments for variables
- or creation of events

## Example:

- *service-off* [not in *Lproc*] / *service:=0*

# The StateCharts simulation phases (StateMate Semantics)

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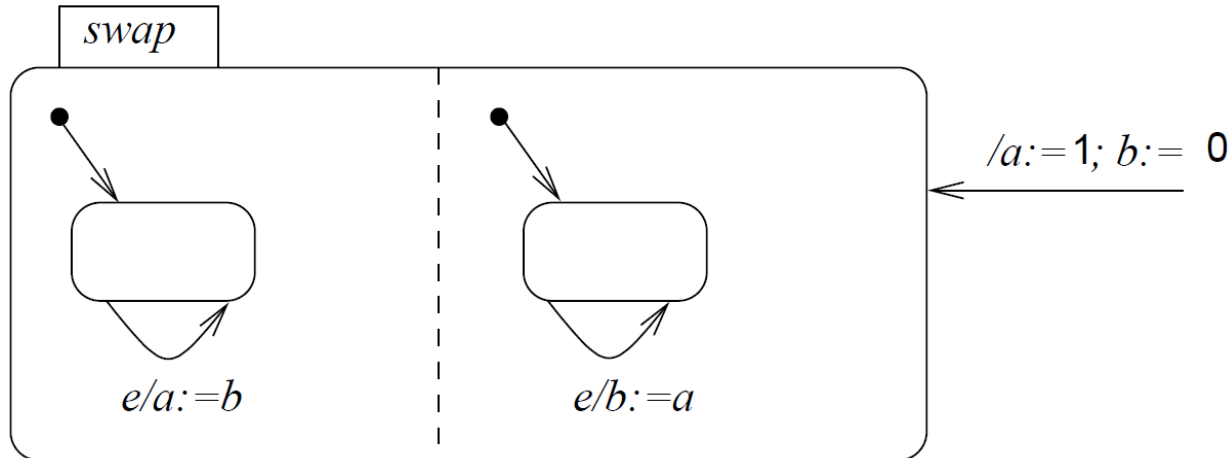
How are edge labels evaluated?

Three phases:

1. Effect of external changes on events and conditions is evaluated,
2. The set of transitions to be made in the current step and right hand sides of assignments are computed,
3. Transitions become effective, variables obtain new values.

Separation into phases 2 and 3 enables a resulting unique (“determinate”) behavior.

# Example



In phase 2, variables  $a$  and  $b$  are assigned to temporary variables:

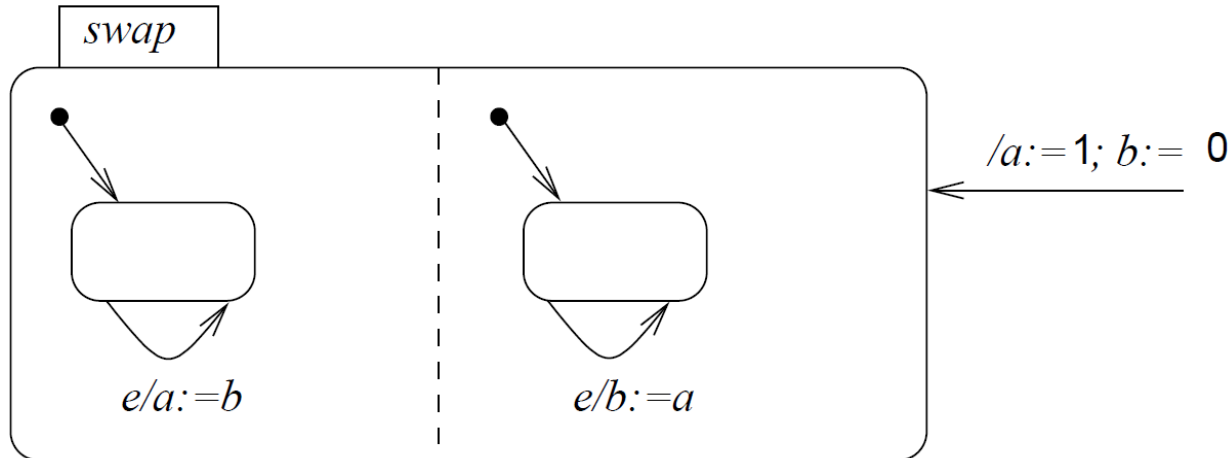
$a' := b, b' := a;$

In phase 3, these are assigned to  $a$  and  $b$ .

$a := a', b := b';$

As a result, variables  $a$  and  $b$  are swapped.

## Example (2)



In a single phase environment, executing the left state first would assign the old value of  $b$  ( $=0$ ) to  $a$  and  $b$ :

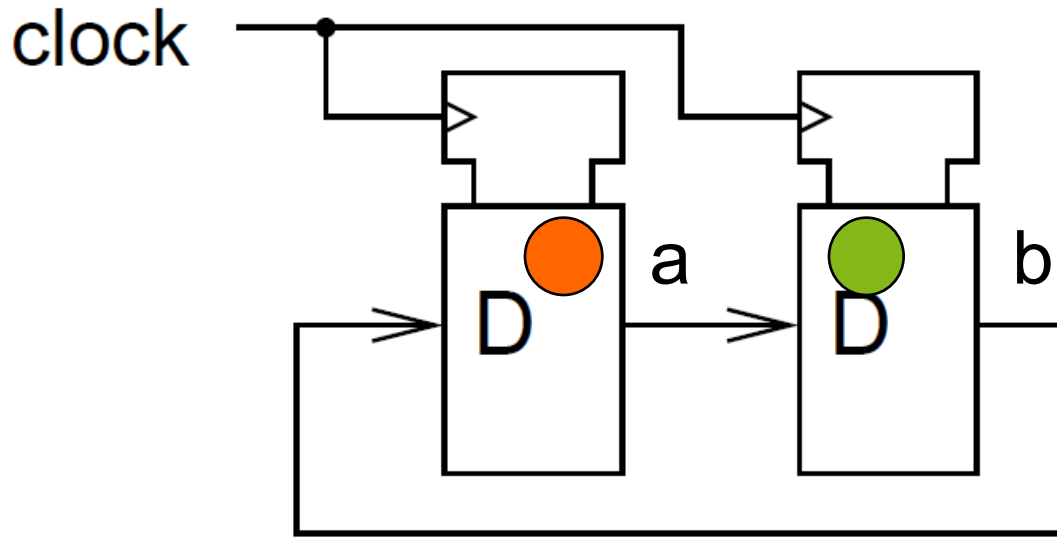
$a := 0, b := 0;$

Executing the right state first would assign the old value of  $a$  ( $=1$ ) to  $a$  and  $b$ .

$b := 1, a := 1;$

The result would depend on the execution order.

# Reflects model of clocked hardware

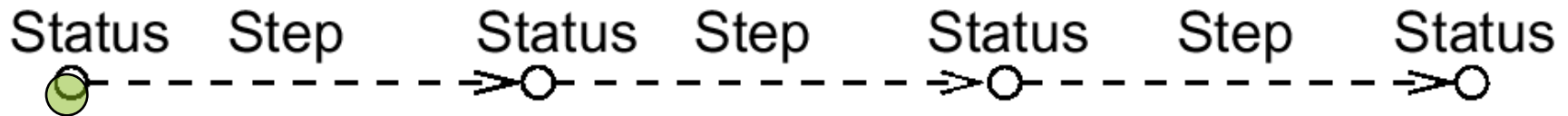


In an actual clocked (synchronous) hardware system, both registers would be swapped as well.

Same separation into phases found in other languages as well, especially those that are intended to model hardware.

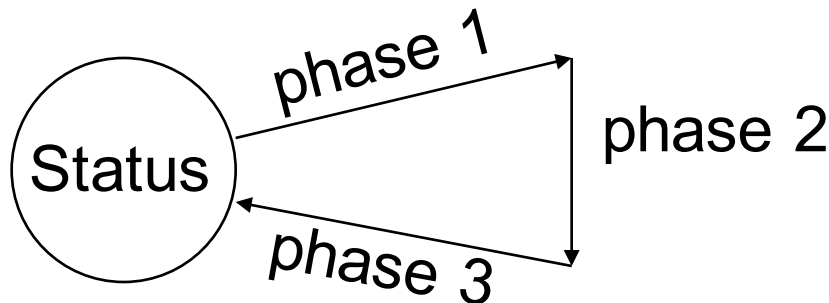
# Steps

Execution of a StateMate model consists of a sequence of (status, step) pairs



Status= values of all variables + set of events + current time

Step = execution of the three phases (**StateMate** semantics)



Other implementations of StateCharts do not have these 3 phases (and hence could lead to different results)!

# Lifetime of events

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**Events live until the step following the one in which they are generated (“one shot-events”).**

# Other semantics

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Several other specification languages for hierarchical state machines (UML, dave, ...) do not include the three simulation phases.

These correspond more to a SW point of view with no synchronous clocks.

Some systems allow turning the multi-phased simulation on and off.





# Broadcast mechanism

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Values of variables are visible to all parts of the StateChart model.

New values become effective in phase 3 of the current step and are obtained by all parts of the model in the following step. !

- ☞ StateCharts implicitly assumes a **broadcast** mechanism for variables  
(→ implicit **shared memory communication**  
–other implementations would be very inefficient –).
- ☞ StateCharts is appropriate for local control systems (😊), but not for distributed applications for which updating variables might take some time (😞).

# Determinate vs. deterministic

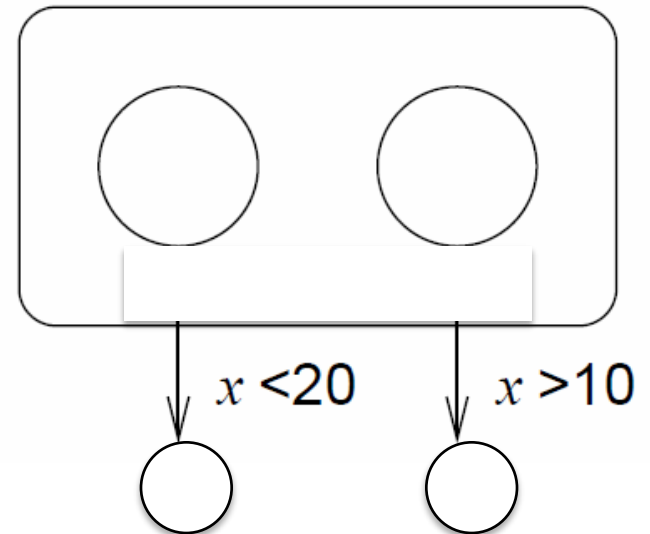
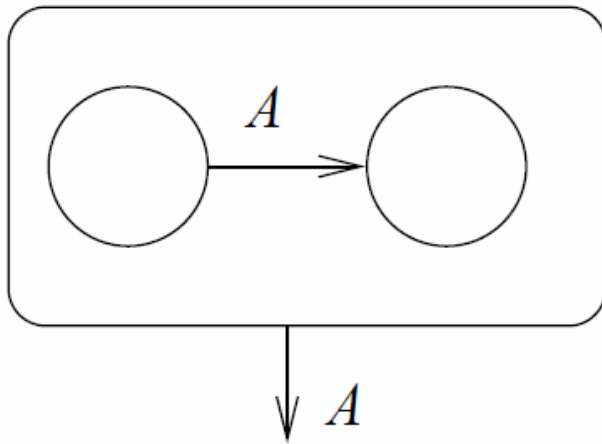
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- Kahn (1974) calls a system **determinate** if we will always obtain the same result for a fixed set (and timing) of inputs
- Others call this property **deterministic**  
However, this term has several meanings:
  - Non-deterministic finite state machines
  - Non-deterministic operators  
(e.g. + with non-deterministic result in low order bits)
  - Behavior not known before run-time  
(unknown input results in non-determinism)
  - In the sense of determinate as used by Kahn

In order to avoid confusion, we use the term “determinate” in this course.

# Conflicts

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Techniques for resolving these conflicts wanted

# StateCharts determinate or not?

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Must all simulators return the same result for a given input?

- Separation into 3 phases a required condition
- Semantics  $\neq$  StateMate semantics may be non-determinate

Potential other sources of non-determinate behavior:

- Choice between conflicting transitions resolved arbitrarily:  
Tools typically issue a warning if such a situation could exist

**→ Determinate behavior for StateMate semantics if transition conflicts are resolved and no other sources of undefined behavior exist**

# Evaluation of StateCharts (1)

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## Pros (👍):

- Hierarchy allows arbitrary nesting of AND- and OR-super states.
- (StateMate-) Semantics defined in a follow-up paper to original paper.
- Large number of commercial simulation tools available (StateMate, StateFlow, BetterState, ...)
- Available “back-ends“ translate StateCharts into SW or HW languages, thus enabling software or hardware implementations.